

Course "Softwareprozesse"

Pair Programming (PP)

Lutz Prechelt Freie Universität Berlin, Institut für Informatik

- Characterizations/claims:
 - Williams, Beck, others
- What happens in PP?
 - base activities
 - knowledge transfer episodes
 - push, pull, co-produce, pioneering

- PP session dynamics
 - S vs. G knowledge
 - session types
- Good PP: Togetherness
- Other results
 - knowledge transfer effects, motivation, driver/observer



- Understand the nature of and the dominant effects in PP
 - and how to do PP well
- Understand why quantitative research on PP is problematic

What is Pair Programming (PP)?

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- A practice (in XP): "Write <u>all</u> production programs with two people sitting at one machine."
- **A work mode:** Work in pairs iff it appears appropriate.



What are your thoughts on this?

- What could be the **benefits**?
- What are potential **problems**?
- Your personal **experience** with PP?

Most well-known characterization

- [<u>WilKesCun00</u>]: "In pair programming, two programmers jointly produce one artifact (design, algorithm, code).
 - The two programmers are like a unified, intelligent organism working with one mind,
 - responsible for every aspect of this artifact.
 - One partner, the driver, controls the pencil, mouse, or keyboard and writes the code.
 - The other partner continuously and actively observes the driver's work, watching for defects, thinking of alternatives, looking up resources, and considering strategic implications.
 - The partners deliberately switch roles periodically.
 - Both are equal, active participants in the process
 at all times"



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If all goes well.

Yes.

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Irrelevant.

Recipe for failure.

Yes.

If all goes well.



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Lutz Prechelt, prechelt@inf.fu-berlin.de

Laurie Williams

Kent Beck's definition, his and others' claimed effects of PP

- Beck: "Pair programming is a dialog between two people simultaneously programming (and analyzing and designing and testing) and trying to program better. Pair programmers:
 - Keep each other on task.
 - Brainstorm refinements to the system.
 - Clarify ideas.
 - Take initiative when their partner is stuck, thus lowering frustration. [PP is more motivating]
 - Hold each other accountable to the team's practices."
- Further claims by others:
 - Pairs are faster than solo programmers
 - or even: reduce effort
 - Pairs produce better designs
 - Pairs come out with fewer defects
 - Pairs learn from each other





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- There is little research on Beck's PP attributes/claims
- There is a lot of research on the "other" claims
 - some of it provides reasonable evidence
 - much of it is inconclusive, misleading, or both
- We will first look at research of the PP process as such
 - "How does it work?", "What are pairs doing?"
- and then look at the other research
 - to understand the reasonable evidence
 - to understand the problems of the rest.

AG SE work on PP



- "What are pairs doing?"
- 4 PhD dissertations 2012, 2013, 2018, 2020
 - Laura Plonka, Stephan Salinger, Julia Schenk, Franz Zieris
- book about basic conceptualization of the PP process 2013
- several articles
- Collection of industrial PP session recordings



Julia Schenk



Franz Zieris



Lutz Prechelt, prechelt@inf.fu-berlin.de



Stephan Salinger - Lutz Prechelt

Understanding Pair Programming:



- Basic idea: Look <u>into</u> the process
 - Not just at its outcomes: Investigate the PP microprocess
- 1. First understand the **base activities** of the programmers
- 2. Then obtain an **understanding of the total PP process**
 - concentrating on only a few aspects at first (e.g. knowledge transfer, strategy, role behavior, work modes)
- 3. and identify helpful/unhelpful patterns of behavior
 - PP behavior patterns and anti-patterns
- 4. Formulate these such as to become a **learnable PP skill**
- To do this, we need detailed data about PP sessions
 - → collected 65+ sessions from 13 different companies, 1-3 hours (in vivo: professionals, actual problems, own environment)
 - Audio + Video (people and screen activity)
 - plus: interviews with developers after sessions (reflection)



File Edit Source Refactor Navigate Search Project Tomcat Run Window Help

- 8 ×



passau



Data analysis using the Grounded Theory Methodology (GTM):

- GTM: the *constant comparative method* of qualitative research
 - It leads to theories that are fully grounded in data
 - Its main prerequisite is *theoretical sensitivity*
 - Its main practices are Memo Writing, Open Coding, Axial Coding, Selective Coding, Theoretical Sampling
 - Supported by appropriate software (in our case <u>ATLAS.ti</u>)
- Rough research phases (super simplified):
 - 1. Open Coding forms a appropriate **vocabulary**
 - 2. Axial Coding identifies behavior **patterns**
 - 3. Selective Coding to describe the most helpful or problematic patterns to **advise practitioners**

Vocabulary: Types of verbal actions found



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product-oriented concepts		process-oriented concepts			universal concepts			
amend_design	ask_design	amend_step	ask_step	explain_ completion	explain_gap in	agree_gap in	explain_standard	ask_standard of
Extend a given proposal regarding the structure and content of the program without rejecting the proposal.	Ask for a concrete proposal regarding the structure and content of the program.	Extend a given proposal regarding the next tactical work step without rejecting the proposal.	Ask for a concrete proposal regarding the next tactical work step.	Make a statement regarding the degree of completion of the current tactical work step.	Verbalize that certain knowledge is not possessed by either member of the pair.	Signal agreement with a given gap in knowledge.	Explain or recapitulate one's own level of knowledge with respect to a certain topic.	Ask the partner for his/her level of knowledge with respect to a certain topic.
challenge_design	agree_design	challenge_step	agree_step	agree_completion				
Reject a given proposal regarding the structure and content of the program and make an alternative proposal instead.	Signal agreement with a given proposal regarding the structure and content of the program.	Reject a given proposal regarding the next tactical work step and make an alternative proposal instead.	Signal agreement with a given proposal regarding the next tactical work step.	Signal agreement with a statement regarding the degree of completion of the current tactical work step.			ask_knowledge	stop_activity Suggest to stop or abort the current HCI or HEI activity.
decide_design	propose_design	decide_step	propose_step	challenge_ completion				
Select one from among several alternative proposals regarding the structure and content of	Make one or several alternative proposals regarding the structure and content of the	Select one from among several alternative proposals regarding the part tactical work stan	Make one or several alternative proposals regarding the next tactical work ctop	reject a statement regarding the degree of completion of the current tactical work step and make an alternative	explain_finding	propose_ hypothesis	explain_knowledge	think aloud_ activity
the program.	program.		work step:	statement.	Verbalize a new insight; this includes interpreting	conjecture, e.g. regarding a property of the	Transfer information to the partner that is	Verbalize aspects of one's own current HCI or HEI
disagree_design		disagree_step		explain_state	an observed event.	program, or the environment.	declarative knowledge.	activity.
Reject a given proposal regarding the structure and content of the program without making an alternative proposal.		Reject a given proposal regarding the next tactical work step without making an alternative proposal.		Make a statement regarding the degree to which the current strategy or work plan has been worked through.	agree_finding	agree_hypothesis	agree_knowledge	agree_activity
	remember_	amend_strategy	ask_strategy	agree_state	Signal agreement with a verbalized insight or	Signal agreement with a given hypothesis or	judge as correct)	Signal agreement with all or part of the current HCI
	Remind the pair of a given (pre-specified) functional or non-functional require-	Extend a proposed strategy or work plan without rejecting it.	Ask for a concrete proposal regarding the strategy or work plan to	Signal agreement with a statement regarding the degree to which the current strategy or work the base basework of	interpretation.	conjecture.	challenge_	or HEI activity.
	ment of the program.		be chosen.	through.	chanenge_nnung	hypothesis	knowledge	chanenge_activity
challenge_ requirement	agree_ requirement	challenge_strategy	agree_strategy	challenge_state	Reject the content of a verbalized insight or	Reject a given hypothesis or conjecture and	ledge as fully, partially, or potentially wrong by	Reject all or part of the current HCI or HEI activity
Reject a given or proposed requirement and propose an alternative	Signal agreement with a given or proposed	Reject a given proposal regarding the strategy or work plan and make an alternative proposal	Signal agreement with a given proposal regarding the strategy or work alan	Reject a statement regarding the degree to which the current strategy or work plan has been	an alternative one.	formulate an alternative one.	opposing it with one's own knowledge.	and suggest an alternative activity.
one instead.	requirement.	instead.	the strategy of work plant	worked through and make an alternative statement.	disagree_finding	disagree_ hypothesis	disagree_ knowledge	disagree_activity
	propose_ requirement	decide_strategy	propose_strategy	propose_todo	Declare transfered finding		Declare transfered know-	Reject all or part of the
	Propose one or several alternative program char- acteristics that should be considered to be a requirement.	Select one from among several alternative proposed strategies or work plans.	Propose one or several alternative strategies or work plans.	Suggest that a certain work item will need to be taken care of later in the process.	as fully, partially, or potentially wrong without explaining why.	Reject a given hypothesis or conjecture.	ledge as fully, partially, or potentially wrong without explaining why.	activity.
					amend_finding	amend_hypothesis		amend_activity
mumble_sth	say_off topic	disagree_strategy		agree_todo				
Make an incomprehensible utterance (highly fragmentary or acustically unclear).	Make an utterance that has nothing to do with solving the programming task.	Reject a given proposal regarding the strategy or work plan without making an alternative proposal.		signal agreement with a statement saying that a certain work item will need to be taken care of later in the process.	Extend a verbalized insight or interpretation without rejecting it.	Extend a given hypothesis or conjecture without rejecting it.		Propose an extension to the current HCI or HEI activity.
miscell	miscellaneous							

The HHI base concepts from Salinger, Prechelt: "<u>Understanding Pair</u> <u>Programming: The Base Layer</u>", BoD 2013



"Vocabulary":

- Base Activities (previous slide) are the process atoms
 - roughly: decision making (process/product) and knowledge transfer
 - our focus so far has been knowledge transfer
 - example session (with more-than-usual execution):





Knowledge Transfer: happens in per-topic episodes

- driven by knowledge need, pursued by one of the developers
- different modes:
 - Pull: "asking" Push: "explaining"
 - **Production**: generating new understanding
 - together: Co-Production
 - or alone: Pioneering Production
- some symptoms of good pairs:
 - one topic at a time, finishing topics, splitting complex topics
- [ZiePre14] Zieris, Prechelt: "<u>On Knowledge Transfer Skill in Pair</u> <u>Programming</u>", ESEM '14
- [ZiePre16] Zieris, Prechelt: "<u>Observations on Knowledge Transfer</u> of Professional Software Developers during Pair Programming", ICSE '16



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G

PP Session Dynamics: Pair configurations

- Each developer enters a PP session with a G-S-profile
 - depending on what she already knows about the system (S)

S

- and software development in general (G)
- only as <u>relevant for the task</u>
- Pairs form constellations, each with distinct challenges and session dynamics:
 - 1. No Relevant Gaps
 - 2. One-Sided S Gap
 - 3. Collective S Gap
 - 4. Complementary Gaps
 - 5. Too-Big Two-Fold Gap
- (others might be possible, but are yet to be observed)

conceptual (non-quantified)
task-specific,
ordinal (low, mid, high)





- In a session, the pair *as a whole* needs to reach **high S**:
 - i.e., complete understanding of the task-relevant system parts.
 - (otherwise: no systematic solution)
- Reaching high S *individually* might be desirable
 - but not necessary, if the developers are not expected to continue working on the task alone

• **High G** is *not necessary*

- mid-or-high G is required once the system is understood
- too-low G can be a problem (solution becomes too difficult)
- G may also help in building up S
- Two ways of dealing with knowledge gaps:
 - 1. Transfer or acquire knowledge within the session
 - 2. Limit scope of current task (reduce what is "high S and G")

Session Dynamics: Key success factors [Zieris20]

- Pairs must constantly maintain high **Togetherness** Williams' definition
 - joint system understanding (S)
 - (driver/observer) joint ideas of how to develop SW (tools, methods)
 - joint tactical plan
 - no obstacles from workspace awareness or language barrier
- Pairs must pick appropriate transfer modes
 - Push, pull, co-produce, pioneer
- Pairs must pursue One Topic at a Time
 - Limit scope

Lutz Prechelt, prechelt@inf.fu-berlin.de

• Explicitly return from subtopics



is an anti-pattern!

G

Session Dynamics Pair Constellations: Type 1 - No Relevant Gaps

- Characterization:
 - Both developers understand the system s⁴ (high S) and possess the required programming skills for the task (high G).
- Occurrence:
 - Rare, only if the pair recently worked on the same task together to build up high S.
- Benefits (theoretical):
 - Modest, each developer could work on the task alone, and the task provides only few opportunities to learn something.
 - PP appears hardly needed.
 - May be useful if e.g. correctness is critical.



Session Dynamics Pair Constellations: Type 2 - One-Sided S Gap

- Characterization:
 - One developer has an S-advantage that needs to be addressed if the two should work as a pair.
- Occurrence:
 - Common, e.g.: Developer A started working on a task, B joins later
 → A has S-advantage
- Challenges:
 - B might not be aware of the gap and might not understand A's ideas.
 - Until the gap is closed, there is an asymmetry. A can help B, but B might have personal preferences for how to close a knowledge gap.
- Solutions:
 - Make sure the S gap becomes visible: Let A explain what she did.
 - Try different modes: Push, Pull, reading aloud [ZiePre14]



Session Dynamics Pair Constellations: Type 3 - Collective S Gap

- Characterization:
 - Both developers lack relevant portions of S. Pairs needs to build up S to solve the task.
- Occurrence:
 - The pair starts on a new task together: Both need to find out which parts of the system are relevant.
- Challenges:
 - Many plausible ways for approaching this.
 - Often, either of the two will have an insight first: Need to stay on the same page.
- Solutions:
 - Integrate partial understanding often: Co-Production [ZiePre14]
 - Let the partner take his time if he lags behind at some points: let partner think aloud, maybe offer Pushes



Session Dynamics Pair Constellations Type 4 - Complementary Gaps

- Characterization:
 - Developer A understands the system, but lacks general SW development skill.
 - B doesn't know the system (well) and has better development skills.
- Occurrence:
 - Not so uncommon: Since S- and G-levels are task-dependent: Pair may choose a task (or amend its goals) such that they complement each other.
- Benefits:
 - Session can be mutually satisfactory
 - B may help A to understand the system faster
 - A may pick up some G knowledge along the way



Session Dynamics Pair Constellations: Type 5 - Too-Big Two-Fold Gap

- Characterization:
 - Both developers know too little about the system to make meaningful changes and lack background knowledge to do much about it.
- Occurrence:
 - Happens: New technology (no G knowledge) and author unavailable (no S knowledge)
- Challenges:
 - PP process can break down entirely:
 - G knowledge too low to acquire enough S knowledge.
 - For unexperienced pairs: having a partner might make it worse
 PP is a skill in itself.
- Solutions:
 - For this task: Different pair, or try alone
 - For this pair: Different task, or radically limit the scope

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Arghh!

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S

PP Session Dynamics: Summary

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- Relative and absolute **S gaps** dominate PP session dynamics
 - Core difficulty: Reach high S as a pair
- **Complementary situations** is when PP pays off best
 - Since relevant knowledge is task-dependent: can be achieved by choosing the "right task" for a pair
- Real world: System understanding trumps programming skills
 - Luckily, PP is great for improving one's system understanding
- Problem with many PP studies: Students and isolated tasks
 - i.e., there is no system and hence **no relevant S knowledge**
 - only general problem solving and programming skills G

Distributed Pair Programming (DPP)



- The partners are not physically in the same room and use a separate computer each
- Their interaction is supported by a collaborative editor and audio conferencing, perhaps also video.
 - <u>Saros</u> (Eclipse, IntelliJ)[AG SE], <u>VS Code</u>, <u>other things</u>
 - Allows >2 participants (Distributed Party Programming)
 - Allows concurrent edits (Distributed-Pair programming)
 - Schenk, Prechelt, Salinger: "<u>Distributed-Pair Programming (DPp)</u> <u>is not just Distributed Pair-Programming (dPP)</u>": Capable pairs use this judiciously for slightly higher Fluency without loss of Togetherness.
 - Sufficient workspace awareness is critical:

Workspace awareness in Saros

• Saros is an IDE plugin that couples multiple IDEs remotely, syncs local files, and creates remote workspace awareness:

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Two big secondary studies:

• Industrial-settings view [VanMan13] vs. education view [SalMenGru11]



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Research methods used, Quality



Measureme

 "<u>A Systematic Mapping Study of Empirical Studies on the Use</u> of Pair Programming in the Industry" [VanMan13]

Other

- Surveyed 154 research articles on PP in industry
 - Research approach, exercise vs. project, #subjects, ...
- Identified 608 statements about the 18 PP aspects
 - ranked by relevance:
 - 1 fair, 2 moderate,
 - 3 good, 4 excellent
 - only 8% had anything excellent, another 13% had anything good
 - based on: rigor of data collection, comparative data, #subjects, realism of context, study duration, length of discussion, ...





Is PP faster? Does it produce better quality?

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- **Quality**: "small positive effect" (PP has little effect on quality)
 - Effect size: 0.33 CI₉₅: [0.07, 0.60]
- **Duration**: "medium positive overall effect" (PP is faster)
 - Effect size: 0.53 CI₉₅: [0.13, 0.94]
- **Effort**: "medium negative overall effect" (PP costs more)
 - Effect size: -0.52 CI₉₅: [-1.18, 0.13]
- Overall: mixed results
 - inter-study variance (heterogeneity): medium for Quality and Duration; high for Effort
 - One-study-removed analysis: considerable changes to effect sizes



Problems of quantitative black-box perspectives

- Pair programming as a "black box":
 - Some work alone, others "use PP" (independent variable)
 - Tasks are finished within some time with a certain quality (dependent variable)
- Problem 1: Plethora of context variables to control, including
 - Experience, Personality
 - Task complexity, type of task, system domain
 - Roles, degree of collaboration
 - Workspace, infrastructure
- **Problem 2**: Hard-to-measure long-term outcomes, such as
 - Avoided architectural flaws and avoided information silos
- **Problem 3**: No explanation of how outcomes come to be
 - No idea how many pairs used PP well
- **Conclusion**: employ other methods than experiments



Benefits from more people being familiar with code?

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- Many projects have strong individual code ownership: For each code module, only one programmer understands it well and only that person makes all modifications
 - and only this person can do so with usually no errors.
 - This often hampers project progress when corrections need to be made by someone who is already overworked ("truck number")
- PP will greatly reduce that problem

How big is this benefit in terms of progress and quality?

- No quantitative results are known, as this is immensely difficult to measure
 - It requires project-level observations

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- Only anecdotal evidence is available:
 - [Belshee05]: New programmer without OOP knowledge came into a PP project heavily using C++ template metaprogramming.
 - After only four weeks of PP he could train another newcomer alone on parts of the 600-class code base he had never seen.
 - [Belshee05]: Promiscuous PP (changing pairs every 90 minutes) led to all 11 members of the team learning a neat IDE editing feature within just 1 day
 - the paste stack, which had been discovered only accidentally
- Again, the effect is very difficult to measure quantitatively
 - It requires project-level observations
- No quantitative empirical results are known



Studies agree that PP is generally rather motivating

- A survey [WilKesCun00] explains that with a positive form of "pair pressure":
 - Both partners want to show their talent and quality work
 - The participants are highly concentrated on their work and keep each other on task
 - no reading emails or surfing the web etc.
- [CaoXu05] on competence-level combinations:
 - high+low: less enjoyable for the more competent participant
 - while the less competent participant took benefit
 - high+high: leads to "deep-level thinking"
 - and both participants enjoy the experience
- Some programmers reject PP completely
 - usually without even trying it out
 - Programmers with longer experience tend to be more skeptical

What about Driver and Navigator/Observer?



- Classic "definition" of PP, from [WilKesCun00]:
 - One partner: "driver", controls keyboard, is writing code
 - The other "actively observes" ... "watching for defects, thinking of alternatives, looking up resources, and considering strategic implications"
 - Pair: like a "coherent, intelligent organism working with one mind"
- Empirical: 24 one-hour sessions from 4 companies [BryRomBou08]
 - Analyzed: level of abstraction of 14k+ sentences (e.g. syntax, blocks, domain)
 - Compared: **Expected distribution** per definition vs. **actual distribution**



• Driver and observer do **not** seem to think on **different levels** of abstraction.



- PP can provide huge learning benefits
- It leads to focused work, spreads knowledge, and tends to produce better designs and fewer defects
 - Raw speed comparisons are therefore misleading
- The process is usually dominated by acquiring the task-specific system knowledge (S)
 - PP is most useful if this is difficult
 - or if the pair's knowledge is complementary.
 - In the real world, system understanding trumps progr. skills
 - Speed comparisons ignoring this are irrelevant
- PP done badly can be inefficient
 - There is a PP skill separate from programming skill
- The key success factor is maintaining **Togetherness**
 - joint system understanding, joint approach, good communication



Thank you!

This was too much material to digest. Please review these slides again!



https://dilbert.com/strip/2004-10-20