

# 10. Extending the Relational Model: - SQL 99 -

- 10.1 Motivation
- 10.2 Collection types
- 10.3 Types and objects
- 10.4 Functions
- 10.5 Triggers

see Kemper/Eickler chap. 14, Elmasri chap. 6.8, O'Neill: Chap. 4,  
Postgres manual

## Context

Requirements analysis

Conceptual Design

Schema design  
- logical ("create tables")

Schema design  
- physical  
("create access path")

Loading, administration,  
tuning, maintenance,  
reorganization

Part 1: Designing and using database

Database Design:  
- developing a relational  
database schema  
Design:  
- formal theory

Data handling in a relational  
database  
- Algebra, -calculus -SQL

Extensions

Using Databases  
from application Progs

Special topics,  
Physical Schema,

Part 2:  
Implementation of DBS  
Transaction,  
Concurrency control  
Recovery

- Missing from relational model
  - Structured attributes
    - Compound records
    - Vectors, sequences
    - Sets
    - tables
    - Type constructors
  - Inheritance between relations
    - ER generalization and mapping to relations useful on the design level, not as relations
  - Operations (methods, functions)
- Principal solutions
  - Make object oriented language persistent
  - Enhance relational model carefully with object concepts

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- Persistent OO Programming Language
  - Ideally no difference between persistent and non persistent objects from a programmer's point of view "Seamless integration"
  - Use OO Modeling and design methodology
  - Issues
    - Query language or pointer chasing?  
First generation ODBS did not have a query language
    - Concurrency and transactional support?
    - Schema?
    - What about non-object oriented languages?
  - Gives up the "tabular data abstraction"
    - Neutral Data format
    - Powerful operations
  - Object Oriented Database Systems
    - Object Store, Poet, O2, GemStone, Orion, ...

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## Motivation

## Objects and Relations

- Object Relational Systems
  - Principles:
    - Keep the goodies of RDB
    - Enhance relational systems by constructed types, inheritance, methods
  - Supported by SQL-3 standard
  - Issues
    - Technologically outdated solutions stabilized  
e.g. type system, baroque constructs of SQL
    - Add-on usually worse than completely new approach
  - Important aspect: **Save investment into your software**
    - DBS, application software  
**Example:** 50.000 programs to change when moving from hierarchical DBS (IMS) to RDBS (~1988, German car manufacturer)

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## Overview: SQL-3 extensions

- Collection types
    - SET, Multiset, List constructors for primitive and constructed types  
e.g. `Phones SET (VARCHAR(20))`  
`Polygon LIST (Point_T)`
  - Composite types / objects
    - Records, objects
  - Functions / methods
  - Active elements: Triggers
- Big differences between 'object relational' products:**  
Oracle, DB2, (Informix), Postgres

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## 10.2 Collection types: arrays

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- SQL -3 standard:

```
CREATE TABLE phone_book (  
    pid          INTEGER,  
    home        VARCHAR(15) ARRAY[5]  
    mobile      VARCHAR (15) ARRAY[3])
```

- Postgres arrays

- additional syntax:  
    <attribute> <basetype> [<n>]
- more than one dimension

```
CREATE TABLE chessBord (  
    board       INTEGER [8][8])
```

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## Collection types: arrays

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- Oracle VARARRAYs:
  - special case of general type extension

```
CREATE TYPE Extension_T as VARRAY(4) OF Int;  
CREATE OR REPLACE TYPE Address_T AS OBJECT (  
    zipcode    CHAR(6),  
    ciy        VARCHAR(20),  
    street     VARCHAR(25),  
    no         CHAR(5));  
  
CREATE TABLE phone_book (  
    name       VARCHAR(30),  
    firstName  VARCHAR(20),  
    addr       Address_T,  
    phones     Extension_T);
```

← Type definition,  
see below

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## Collection types: arrays

- How to update / select arrays?

Postgres syntax for array constants:

```
e.g ('a','b','d') , ((1,2),(1,2,3,4))
INSERT INTO phone_book VALUES
(11, ('+49-30-4836721', '4398722'), ( ) )
```

Array constructor: `ARRAY [1,3,5,7,9]`  
also allowed.

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## Collection types: arrays

- Selection

```
SELECT pid FROM phone_book
WHERE home[1] = '4711348'
```

Questionable! One of the phone numbers should satisfy the predicate, not just the first.

Postgres solution: IN, ANY/SOME, ALL  
set comparisons

```
SELECT pid FROM phone_book
WHERE '4711348' IN home
```

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## Collection types: arrays

- Arrays are not sets
  - ⇒ separate tables are a better design decision for sets
- Implementation issues:
  - Postgres stores array values within the rows
  - ⇒ Problems with large number of values  
(e.g. `measurement REAL [1000]`)
- Completely different handling of arrays in Oracle

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## Collection types: arrays (Oracle)

– VARRAY defined

```
INSERT INTO PhoneBook VALUES (
  'Abel', 'Hendrik', ADDRESS_T('12347',
  'Berlin', 'Takustr.', '9'),
  Extension_T(2347, 1139));

SELECT b.name, b.addr.street, b.phones
FROM PhoneBook b
WHERE 2347 IN (SELECT * FROM TABLE(b.phones));
NAME ADDR.STREET PHONES
-----
Abel Takustr. EXTENSION_T(2347, 1139)
```

Cast of a varray  
to a table

– No way to address by position  
e.g. `phones[2]`

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## 10.3 Creating new types

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- Definition of **composite types**

```
CREATE TYPE complex AS  
  ( r double precision, i double precision );
```

Nothing but a definition of the type of a structured value ("record")

Used as a **column type** in Postgres

```
CREATE TABLE Foo (  
  n VARCHAR(5),  
  roots complex [2]  
)
```

**Access** to components of a type:

```
SELECT n, (roots).x FROM Foo WHERE...
```

note '(...')

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## Nested tables (SQL-3): Oracle flavor

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- Nested table
  - Restriction: only one level of nesting

```
CREATE TYPE Polygon_T AS TABLE OF Point_T;  
  
CREATE TABLE Polygons (  
  pid      CHAR(5),  
  points   Polygon_T)  
  NESTED TABLE points STORE AS PointsTab;
```

Stored outside table **Polygons**

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## Nested tables (SQL-3): Oracle flavor

- Querying nested tables using inner tables

```
SELECT t.pid FROM Polygons t
WHERE EXISTS
  (SELECT ss.x FROM
     TABLE(SELECT points
            FROM Polygons t2
            WHERE t2.pid =t.pid
            ) ss
     WHERE ss.x = ss.y)
AND pid <> 'squ01';
```

Select one row in polygons and select a table value (points)

Additional outer qualification predicate

Inner qualification on table value

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## Object Relational Concepts Object types

- SQL-99 **objects**
  - **Row types**  
A row may be an object which has attributes and can be referenced
  - **Column types**  
an (A)DT which may be the type of an attribute

```
CREATE TYPE AdressType AS OBJECT (
  city      VARCHAR(30) ,
  zipCode   VARCHAR(15) ,
  street    VARCHAR (30) ,
  number    VARCHAR(5) ,
  country   VARCHAR(15)
);
/
```

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- Object Types, Object Tables and REFs (SQL-3, Oracle)
  - REF types have values, can be seen by user as opposed to OO languages

```
CREATE TYPE MovieType AS OBJECT (
  mId      Int ,
  title    VARCHAR(30),
  director VARCHAR(25),
  year     date
); /
```

Object table

```
SQL> CREATE TABLE MovieObjects OF MovieType;
```

```
CREATE TABLE MovieTape (
  Id      Int,
  movie   REF MovieType,
  since   date,
  back    date
);
```

Table with object reference

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## SQL3: Abstract data types

- "ADT is a data type defined by the operations allowed on its values"

```
CREATE TYPE <name> (
  <list of component attributes>
  <declaration of EQUAL, LESS>
  < declaration of more methods> )
```

- supported only by a few DBS
- ADT equivalent to 'object type' (Oracle)
- ... or functions may be defined stand-alone (PG)

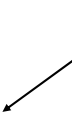
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## Functions, methods, procedures

- Method interface in an object type definition (Oracle flavor)

```
CREATE TYPE LineType AS OBJECT
( end1 PointType,
  end2 PointType,
  MEMBER FUNCTION length(scale IN NUMBER) RETURN
  NUMBER,
  PRAGMA RESTRICT_REFERENCES(length, WNDS) );
CREATE TABLE Lines ( lineID INT, line LineType );
```

Parameter type:  
in, out, in out



- Predicates defined over functions

```
SELECT lineID, l.length (1.0) FROM Lines l
WHERE l.length(1.0) > 10.0
```

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## Defining methods (Oracle)

- Implementation of a method signatur\*

```
CREATE TYPE BODY LineType AS
MEMBER FUNCTION length(scale NUMBER) RETURN NUMBER
IS
BEGIN
RETURN scale * SQRT((SELF.end1.x-
SELF.end2.x) * (SELF.end1.x-SELF.end2.x) +
(SELF.end1.y-SELF.end2.y) * (SELF.end1.y-
SELF.end2.y) );
END;
END;
```

- Function may be defined in Java or PL/SQL (Oracle)

\*compare: java interface vs. class

see: Ullman, J.: Object-Relational Features of Oracle

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<http://www-db.stanford.edu/~ullman/fcdb/oracle/or-objects.html>

## Type definitions in PostgreSQL: composite

Completely different concept

### A. composite types

```
CREATE TYPE point AS ( r double precision, i double
precision );
```

```
CREATE TABLE polygons (
    pid      CHAR(5),
    ppoints  point[200])
```

```
SELECT ppoints[1] AS startPoint
FROM polygons WHERE pid = 'myPoly'
```

No object types:  
- no REF,  
- no inheritance,  
- no methods

Postgres offers **geometric types** like **point**, **line**,  
...

They have been defined using the "user defined type"  
concept

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## Type definitions in PostgreSQL: user defined

### B. User defined types

... are **scalar types**

- not composite from SQL point of view

- Defined as a C structure (typically)
- Needed: input and output functions
- no general method interface

Example: complex numbers\*

```
typedef struct Complex
    { double x; double y; } Complex;
```

\* see PG manual

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## Type definitions in PostgreSQL

Input function for user defined data type 'complex':

```
complex_in(PG_FUNCTION_ARGS)
{ char *str = PG_GETARG_CSTRING(0);
  double x, y;
  Complex *result;
  if (sscanf(str, " ( %lf , %lf )", &x, &y) != 2)
    /* parser for input and error handling...
  result = (Complex *) palloc(sizeof(Complex));
  result->x = x; result->y = y;
  PG_RETURN_POINTER(result);
}
```

Registering as a PG function:

```
CREATE FUNCTION complex_in(cstring) RETURNS complex
AS 'myComplex.c' LANGUAGE C IMMUTABLE STRICT;
```

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## Type definitions in PostgreSQL

Defining the scalar type

```
CREATE TYPE complex
( internallength = 16,
  INPUT = complex_in,
  OUTPUT = complex_out, /* output fct
  RECEIVE = complex_recv, /* binary input fct(option.)
  SEND = complex_send, /* binary output
  ALIGNMENT = double );
```

Using the scalar type

```
CREATE TABLE foo AS
( ...,
  val complex,
  ...
)
```

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## Type definitions in PostgreSQL

- Example: Document type

```
CREATE TYPE document (  
    INPUT = lo_filein,  
    OUTPUT = lo_fileout,  
    INTERNALLENGTH = VARIABLE );
```

```
CREATE TABLE myDocuments ( id integer, obj document );
```

- Not very interesting...  
.... without operators / functions
- Functions may be defined independently

```
CREATE FUNCTION concat_docs(doc, doc)  
    RETURNS doc AS 'concat_docs',  
    LANGUAGE C;
```

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## 10.4 Functions ('stored procedures')

### Server extension by user defined functions

- defined independent from type / object type
- either
  - SQL based: SQL, PL/SQL (Oracle), PL/pgSQL
    - adds control structures to SQL
    - easy way to define complex functions on the DB
  - Programming language based  
C, Java, ..., Perl, Python, Tcl for Postgres  
Any Programming language suitable in principle
- Defined in the SQL 3 standard,
- (Some) differences in most systems

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## SQL based function

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### Example

```
CREATE FUNCTION foo (acc integer, amount numeric)
  RETURNS numeric AS
  $$ UPDATE bank SET balance = balance - amount
     WHERE accountno = acc;
     SELECT balance FROM bank WHERE accountno = acc;
  $$ LANGUAGE SQL;
```

← \$ quoting of PG

- Many SQL-statements in one call: performance gain
- value returned: first row of last query result
- Compound result type and table valued functions allowed  
⇒ Table valued function in FROM clause

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## SQL based functions

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- Table result types

```
CREATE FUNCTION getfoo (varchar(30)) RETURNS SETOF
  movie AS $$ SELECT * FROM movie
  WHERE m_id = $1;
  $$ LANGUAGE SQL;
```

← placeholder for parameters

```
SELECT title, director FROM getfoo(93) AS m1;
```

→ Alias for returned table value

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## PL/pgSQL in a nutshell

- First example

During testing no DROP necessary

```
CREATE OR REPLACE FUNCTION rand (hi integer, low int4)
RETURNS integer AS
$BODY$
  -- no DECLARE
  BEGIN
    RETURN low + ceil((hi-low) * random());
  END;
$BODY$
LANGUAGE 'plpgsql' VOLATILE;
```

Here go the variable declarations

block

Standard functions:  
random() returns  
uniformly distributed  
values  $0 \leq v \leq 1.0$

\$-quote, useful for  
string literals

Function does not return the same  
value for same argument:  
hint for optimization

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## PL/pgSQL in a nutshell

```
CREATE OR REPLACE FUNCTION video.randtab(count integer,
                                          low integer, hi integer)
RETURNS integer AS
$BODY$
  DECLARE c INTEGER :=0;
          r INTEGER;
  BEGIN
    CREATE TABLE randomTable (numb integer, randVal
                               integer);
    FOR i IN 1..count
    LOOP
      INSERT INTO randomTable VALUES(i, rand(low,hi));
    END LOOP;
    RETURN (SELECT MAX(numb) FROM randomTable);
  END;
$BODY$
LANGUAGE 'plpgsql' VOLATILE;
```

variable declarations

side effects!

## PL/pgSQL in a nutshell

---

```
CREATE OR REPLACE FUNCTION video.actorBD(  
    video.actor.stage_name%TYPE) ← only arg type  
    RETURNS DATE AS                               type is  
    RETURNS DATE AS                               column type of  
    $BODY$                                       a table  
    DECLARE myRec video.actor%ROWTYPE;  
    BEGIN  
        SELECT INTO myRec * FROM actor WHERE stage_name = $1;  
        IF NOT FOUND THEN  
            RAISE NOTICE 'no actor with this stage name';  
        RETURN NULL  
        ELSE RETURN myRec.birth_date; ← Exception handling  
        END IF;  
    END;  
$BODY$  
LANGUAGE 'plpgsql' STABLE;
```

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## PL/pgSQL in a nutshell

---

- Evaluation of functions
  - Within a select statement:  

```
SELECT randtab(100,0,9)
```
  - Without result value  

```
PERFORM my_function(args)
```
  - EXECUTE query plan  

```
EXECUTE PROCEDURE emp_stamp();
```

Note: Functions may have side effects!

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## Realistic PLSQL example

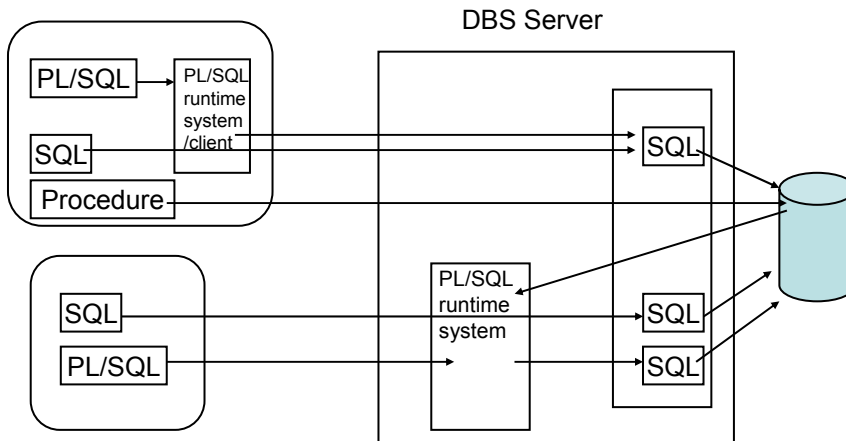
```
-- very simple purchase transaction
DECLARE
  qty_on_hand NUMBER(5);
BEGIN
  SELECT quantity INTO qty_on_hand FROM inventory
    WHERE product = 'TENNIS RACKET' --
    FOR UPDATE OF quantity;

  IF qty_on_hand > 0 THEN -- check quantity
    UPDATE inventory SET quantity = quantity - 1
      WHERE product = 'TENNIS RACKET';
    INSERT INTO purchase_record
      VALUES ('Tennis racket purchased', SYSDATE);
  ELSE
    INSERT INTO purchase_record
      VALUES ('Out of tennis rackets', SYSDATE);
  END IF;
  COMMIT;
END;
/
```

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## Stored procedures: system architecture

Runtime system of PL/SQL (example, Oracle)



Fat / thin client

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## 10.5 Triggers

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**Triggers:** Event – Condition – Action rules

Event: `Update, insert, delete` (basically)

Condition: **WHEN** < some condition on table >

Action: some operation ( expressed as DML, DB-Script language expression, C, Java,...)

Triggers make data base systems proactive compared to reactive

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## Triggers: simple example

---

- Basic Functionality

```
CREATE TRIGGER myTrigger
  BEFORE [AFTER] event
  ON TABLE myTable FOR EACH ROW { | STATEMENT}
  EXECUTE PROCEDURE myFunction(myArgs);
```

- *event*: UPDATE, INSERT, DELETE
- Semantics
  - Execute the function after each event
  - once for each row changed or once per statement  
e.g. per statement: write log-record  
per row: write new time-stamp

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## Anatomy of a trigger (Oracle)

```
CREATE OR REPLACE TRIGGER movie_tape_Trigger
INSTEAD OF INSERT ON T_M
FOR EACH ROW
```

Semantics: trigger for  
each row affected  
(not only once per  
executed statement)

Action  
(here:  
PL/SQL)

```
DECLARE m_row NUMBER;
-- local variable
BEGIN
  SELECT COUNT(*) INTO m_row
  FROM Movie
  WHERE m_id = :NEW.mid;

  IF m_row = 0
  THEN RAISE_APPLICATION_ERROR(-20300, 'Movie does not
  exist');
  ELSE INSERT INTO Tape (t_id, m_id) VALUES (:NEW.t_id,
  :NEW.mid);
  END IF;
End;
```

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## Using an INSTEAD OF TRIGGER

Without the trigger:

```
Insert into T_M (mid, t_ID) VALUES (93,14);
```

\*

FEHLER in Zeile 1:

```
ORA-01779: Kann keine Spalte, die einer Basistabelle
zugeordnet wird, verändern
```

Using the INSTEAD OF TRIGGER

```
Insert into T_M (mid, t_ID) VALUES (93,14)
```

1 Zeile eingefügt

```
Insert into T_M (mid, t_ID) VALUES (99,14)
```

\*

FEHLER in Zeile 1:

```
ORA-20300: Movie does not exist
```

```
ORA-06512: in "VIDEODB.MOVIE_TAPE_TRIGGER", Zeile 8
```

```
ORA-04088: Fehler bei der Ausführung von Trigger
'VIDEODB.MOVIE_TAPE_TRIGGER'
```

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## Triggers...

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- ... are a powerful DB programming concept
- Allow complex integrity constraints
- Used in most real-life database applications
- Sometimes dangerous:

```
CREATE TRIGGER myTrigger1
BEFORE INSERT
ON TABLE myTable1 EXCEUTE myfct (...)
    -- inserts some record into myTable2

CREATE TRIGGER myTrigger2
BEFORE INSERT
ON TABLE myTable2 EXCEUTE myfct (...)
    -- inserts some record into myTable1
```

Cycle!

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## Summary

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- Extensions of relational model very popular
- SQL 3 keeps extensions under control – somehow
- Object-relational extensions more important than object oriented database systems
- Extensions basically are:
  - stctured types and set types
  - functions, written in a db script language or some programming language
  - active elements: triggers (SQL 3) , rules (only PGres)

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