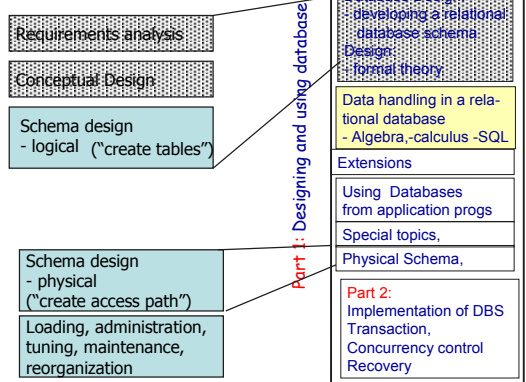


## 6 The Relational Data Model: Algebraic operations on tabular data

- 6.1 Basic idea of relational languages
- 6.2 Relational Algebra operations
- 6.3 Relational Algebra: Syntax and Semantics
- 6.4. More Operators
- 6.5 Special Topics of RA
  - 6.5.1 Relational algebra operators in SQL
  - 6.5.2 Relational completeness
  - 6.5.3 What is missing in RA?
  - 6.5.4 RA operator trees

Kemper / Eickler: 3.4, Elmasri / Navathe: chap. 74-7.6,  
Garcia-Molina, Ullman, Widom: chap. 5

## Context



## 6.1 Basic idea of relational languages

### • Data Model:

Important concepts

Language for definition and handling (manipulation) of data

- Languages for handling data:
  - **Relational Algebra (RA)** as a semantically well defined applicative language
  - **Relational tuple calculus** (domain calculus): predicate logic interpretation of data and queries
  - **SQL / DML** (or simply SQL)
- Kernel of SQL built upon RA as well as calculus, extended by operations like arithmetic expressions not available in RA or calculus

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## Relational Languages

### Goal of language design

Given a relational database like the **Video shop DB**

Design a language, which allows to express **queries** like:

- Customers who rented videos for more than 100 \$ last month
- List of all movies no copy of which have been on loan since 2 month
- List the total sales volume of each movie within the last year
- Is there anybody whose rented movies all have category "horror"?
- .....

**Language should be declarative ("descriptive")**

Historically: "Make query formulation 'as easy as in natural language' "

Privacy?

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## Relational Algebra

### • Idea of Relational Algebra:

- Given relations  $R(a_1, \dots, a_n)$ ,  $S(b_1, \dots, b_m)$
- Define operators which transform one or more tables into a result table.

### Example

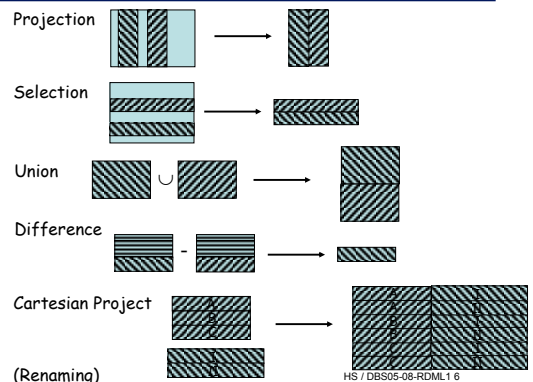
Tape ( id movieId )	Movie ( m_Id title )
1 'B'	'A' "Frenzy"
5 'A'	'B' "Matrix"
6 'B'	

Join tables!

How could we find the number of tapes of "Matrix"?

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## Basic Operations informally (repeated from chapter 4)



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## Relational Algebra Basics

### • Why "algebra"?

- Mathematically, **algebraic structures** basically defined by a **base set S of values** and **operations** which map one or more elements of S to S and obey certain laws (e.g. groups, lattices, ...)
- The base set of **Relational Algebra** is the set of all relations (tables) with attributes from a given set A of attributes.
- Operations on tables **projection, cartesian product, join, ...** as introduced intuitively above
- Note: Result of an operation is time dependent

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## 6.2 Relational Algebra operations

### Terminological update

Let A be a set universal of attributes

- A **Relation Schema** is a named n-tuple of attributes  $RS = R(a_1, \dots, a_n), \{a_1, \dots, a_n\} \subseteq A$
- $R_A$  is the set  $\{a_1, \dots, a_n\}$  of attributes (columns) of RS called the type **signature** of R
- The operation  $\Sigma$  applied to a relation R results in the type signature of R:  $\Sigma(R) = R_A$
- A **Relational Database Schema** is a set of relation schemas
- A **Database Relation** R (conforming to Relation Schema RS) is a subset of  $D(a_1) \times \dots \times D(a_n)$ , the cross product of the domains of the attributes of R

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## Set operations

Tape ( id movieId )
1 'B'
5 'A'
6 'B'

∪

Movie ( movieId title )
'A' "Frenzy"
'B' "Matrix"

?

R, S relations,

R and S are called **union-compatible** if the domains of  $\Sigma(R)$  and  $\Sigma(S)$  are pair wise the same or: two tables are union-compatible if they have the same number of columns and have the same domains in corresponding columns

R and S union-compatible, then **set union** and **set difference**  $R \cup S$  and  $R \setminus S$  are defined as usual on mathematical sets

Other set operations may be easily defined using  $\cup$  and  $\setminus$

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## Relational Algebra Basic Operations

### • Cartesian (Cross) Product

- Cross product of two sets R and S: a set of pairs with type signature  $\Sigma(R) \subseteq A$  and  $\Sigma(S) \subseteq A$
- Result relation T should be a relation over  $\Sigma(R) \cup \Sigma(S) = A' \subseteq A$  (assumed  $\Sigma(R) \cap \Sigma(S) = \emptyset$ )

R ( a1 a2 )
1 'A'
5 'Z'

S ( b1 a2 )
3 'A'
1 'B'

X

T ((a1 , a2) (b1 a2) )
(1 'A') (3 'A')
(5 'Z') (3 'A')
(1 'A') (1 'B')
(5 'Z') (1 'B')

=

Not a relation schema in 1NF. Therefore NOT an operation of Relational Algebra

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## Relational Algebra Basic Operations

### Extended cross product X

Let R and S be relations,  $\Sigma(R) = \{a_1, \dots, a_n\} \subseteq A$ ,  $\Sigma(S) = \{b_1, \dots, b_m\} \subseteq A$ ,  $\Sigma(R) \cap \Sigma(S) = \emptyset$  then

– Schema  $\Sigma(R \times S)$ :  $\{R.a_1, \dots, R.a_n, S.b_1, \dots, S.b_m\} = \{R.a \mid a \in A\} \cup \{S.b \mid b \in A\}$   
Omit relation qualifiers "R." and "S." - no naming conflict.

– **Extended cross product**  $R \times S$  :  $R \times S = \{(a_1, \dots, a_n, b_1, \dots, b_m) \mid (a_1, \dots, a_n) \in R, (b_1, \dots, b_m) \in S\}$

Renaming, if  $\Sigma(R) \cap \Sigma(S) \neq \emptyset$  :

$\rho_{\langle \text{attname} \rangle} \leftarrow \langle \text{newAttname} \rangle$  ( $\langle \text{relname} \rangle$ )

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## Projection $\pi$

Let  $\Sigma(R) = B'$ ,  $B \subseteq B'$

**Projection**  $\pi_B(R)$  of R on B:

Set of rows from R with the columns not in B eliminated



– No duplicates in  $\pi_B(R)$  (in theory!)

$\pi_B(R) = \{r \text{ restricted to } B \mid r \in R\}$   
 $= \{r' \mid \text{there is a tuple } r \in R \text{ such that } r' \text{ is the restriction of } r \text{ to the attributes in } B\}$

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## Relational Algebra Basic Operations

Parent (id, mother, father)

25	Mary, Paul
47	Mary, John
55	Mary, Paul

$\pi_{\text{mother}}(\text{Parent}) = \text{Mary}$

A relation with only one column and one row (no duplicates)

- Property of projection:

B contains a key of R  $\Rightarrow \pi_B(R)$  contains as many tuples as R:  $|\pi_B(R)| = |R|$

- Useful for estimating the size of query results
- Important for optimization

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## Selection $\sigma$

"Find movies directed by Billy Wilder made 1960 or later"



Selection of tuples from a table R according to a predicate defined on R

Predicate  $P :: R \rightarrow \{\text{TRUE}, \text{FALSE}\}$  defined on tuples of R.

For each  $r \in R : P(r) = \text{TRUE} \mid \text{FALSE}$

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## Primitive predicates

Primitive (simple) predicates:

Let a, b be attributes, w value from dom (a)  
 $a \theta b$  and  $a \theta w$  are primitive predicates  
 where  $\theta \in \{=, !=, <, <=, >, >=\}$

Primitive predicates compare either an attribute and a value or two attributes

e.g. `Movie.director = 'Wilder, Billy'`

or `Rental.until > Rental.from + 1 month`

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## Row predicates

Boolean row predicates

Row predicates combine primitive (simple) predicates by **and, or, not** and parenthesis '(', ')'

Inductive definition of syntax for (row) predicates  
 ...as usual:

- Primitive predicates are predicates
- If Q, Q' are predicates, then  $Q \wedge Q'$ ,  $Q \vee Q'$  and  $\neg Q$  are predicates
- Operator preference and brackets as usual
- There are no other predicates

Movies directed by Spielberg before 1999 or an entertainment movie :

`movie.director='Spielberg' ^ (year <= TO_DATE('1999', 'yyyy'))  
 v cat = 'entertainment'`

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## Propositional semantics

Semantics of predicates:

Let

a, b be attributes of table R,  $r \in R$ ,  
 P the predicate  $a \theta v$ , Q is the predicate  $a \theta b$   
 $r(a)$  : value for attribute a of tuple r

Then

$P(r) := r(a) \theta v$

$Q(r) := r(a) \theta r(b)$

$S = P \wedge Q : S(r,t) := P(r) \wedge Q(r)$  according to  $\wedge$  semantics

$\neg, \vee$  and preference as usual in propositional logic

Frequently,  $\theta$  is equality predicate (=)

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## Selection of rows

Selection  $\sigma$

$\sigma_P(R) = \{r \mid r \in R \text{ and } P(r) = \text{TRUE}\}$   
 where P is a row predicate

Note:

- Selection operator selects the row with all attributes:  
 $\Sigma(R) = \Sigma(\sigma_P(R))$
- size of result depends on **selectivity** of P  
 $\text{selectivity} := |\sigma_P(R)| / |R|$   
 important for optimization

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## Relational Algebra Basic Operations

### Example

"Movies directed by Spielberg produced 1997 or later !"

Movie(mId,title,...,director, year)

$\sigma_P$ (Movie)

where P = "director = 'Spielberg' and year >= 1997"

M_ID	TITLE	CAT	YEAR	DIRECTOR	PRICE_DAY	LENGTH
2	Amistat	Drama	01.04.97	Spielberg	1	120
4	Minority Report	Drama	01.04.02	Spielberg	2	145

2 Zeilen ausgewählt.

Wrong result...? "Truth" defined relative to contents of DB  
Closed world assumption: for all predicates P  $r \notin R \Rightarrow P(r) = \text{False}$

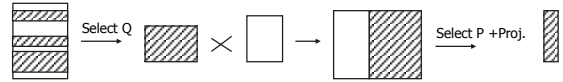
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## Relational Algebra: combining operators

$\pi_{\text{title}}(\sigma_P(\text{Movie}))$

where P = "director = 'Spielberg' and year >= 1997"

Find the actors performing in movie directed by Spielberg



$\pi_{\text{stage\_name}}(\sigma_P(\sigma_Q(\text{Movie}) \times \text{Starring}))$   
where P = "Movie.id = Starring.movieId"  
Q = "director = 'Spielberg'"

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## Renaming

### Renaming of relations

Example: Employee(id, name, boss, ...)

Find subordinates of 'Miller'

~~$\pi_{\text{name}}(\sigma_P(\sigma_Q(\text{Employee}) \times \text{Employee}))$   
where P = "Employee.name = 'Miller' "  
Q = "Employee.boss = Employee.id "~~

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## Renaming

$\rho_{\langle \text{newname} \rangle}(\langle \text{relname} \rangle)$

Relation  $\langle \text{relname} \rangle$  is renamed to  $\langle \text{newname} \rangle$  in the context of expression

$\rho_{\langle \text{attname} \rangle \leftarrow \langle \text{newAttname} \rangle}(\langle \text{relname} \rangle)$

Attribute  $\langle \text{attname} \rangle$  of relation  $\langle \text{relname} \rangle$  is renamed to  $\langle \text{newAttname} \rangle$  in the context of expression

$\pi_{\text{sub\_name}}(\sigma_Q(\sigma_P(\text{Employee} \times (\rho_{\text{Sub}}(\text{Employee}))))$   
where P = "Employee.name = 'Miller' "  
Q = "Sub.boss = Employee.id "

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## Evaluation example: one table – two roles

Employee		
id	name	boss
001	Abel	NULL
002	Bebel	005
004	Cebel	005
005	Miller	001
006	Debel	001
...	...	...

$\times$

Sub		
id	name	boss
001	Abel	NULL
002	Bebel	005
004	Cebel	005
005	Miller	001
006	Debel	001
...	...	...

$\rho_{\text{Sub}}(\text{Employee})$

Employee			Sub		
id	name	boss	id	name	boss
001	Abel	NULL	001	Abel	NULL
001	Abel	NULL	002	Bebel	004
...	...	...	002	Bebel	005
002	Bebel	005	001	Abel	NULL
002	Bebel	005	002	Bebel	005
...	...	...	...	...	...
005	Miller	001	001	Abel	Null
005	Miller	001	002	Bebel	005
005	Miller	001	004	Cebel	005
005	Miller	001	005	Miller	001
005	Miller	001	006	Debel	001
...	...	...	...	...	...
006	Debel	001	005	Miller	001
006	Debel	001	006	Debel	001

$\pi_{\text{name}}$

$\sigma_P$

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## 6.3 Relational Algebra: Syntax and Semantics

Syntax of (simple) Relational Algebra defined inductively :

- (1) Each table identifier is a RA expression
- (2)  $\rho_A(B)$ ,  $\rho_{s \leftarrow y}(A)$  are RA expressions where A,B table identifiers, s, v attribute identifiers
- (3) If E and F are RA expressions then  $\pi_D(E)$ ,  $\sigma_P(E)$ ,  $E \times F$ ,  $E \cup F$ ,  $E \setminus F$  are RA expressions ( if union-compatible etc.) where  $D \subseteq \Sigma(E)$
- (4) These are all RA expressions

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## Semantics of Relational Algebra

val is a function which assigns to each relational algebra expression a result table:

val ('R') = R

"The value of a relation name is the relation (table)"

val ('τ (E)') = τ (val (E))

where τ is some unary rel. Operation like π

"The value of an unary relational operator applied to an relational algebra expression E is the result of applying the operator to the value of E"

val ('E ∘ F') = val (E) ∘ val (F)

where ∘ is some binary operator like X

"The value of an unary relational operator applied to a relational algebra expression E is the result of applying the operator to the value of E"

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## 6.4 Relational Algebra : More Operators

Some sequences of operations occur frequently like cartesian product followed by a select  
⇒ Define compound operators

**Join (θ-join)**

R, S relations,

$R \bowtie_P S$

Important concept

$= \{(a_1, \dots, a_n, b_1, \dots, b_m) \mid P(a_1, \dots, a_n, b_1, \dots, b_m) \text{ is true}\}$   
 $= \sigma_P (R \times S)$

where P is a (boolean) predicate composed of primitive predicates of the form  
 $a \theta b$ ,  $a \in \Sigma(R)$ ,  $b \in \Sigma(S)$ ,  $\theta \in \{=, \neq, <, <=, >, >=\}$   
 (Join predicate)

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## Relational Algebra Join

$R \bowtie S = \{R.a < S.c \wedge R.b = S.d\}$

R(a b c) S(a c d)

1	A	2
2	A	2
3	C	1

1	3	A
2	2	B
1	2	C

The result usually does not have a name

R X S

1	A	2	1	3	A
1	A	2	2	2	B
1	A	2	1	2	C
2	A	2	1	3	A
2	A	2	2	2	B
2	A	2	1	2	C
3	C	1	1	3	A
3	C	1	2	2	B
3	C	1	1	2	C

Note: exactly the same as taking the set of all pairs of R and S rows and checking the predicate subsequently

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## Relational Algebra : more operators

**Equijoin:** equality comparison  $\bowtie_P$

Important type of join: all primitive predicates in P compare equality of column values of two rows at a time :  $P \equiv \bigwedge R.x_i = S.y_i$ ,  $\{x_i\} \subseteq \Sigma(R)$ ,  $\{y_i\} \subseteq \Sigma(S)$ , Implements the "values as pointers" concept of RDB for foreign keys, but is more general.

Example using foreign key: Find movie title on tape 27

$\pi_{\text{title}}(\text{Movie} \bowtie_{\text{id=Tape.mid}} \sigma_{\text{id=27}}(\text{Tape}))$

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## Example

Movie(mId,title,...,director, year)	Tape(id, acDate, format, movieId)
25 Amistad, ... Spielberg 1997	11 9-3-98 VHS 25
35 A.I. Spielberg 2001	23 4-6-01 DVD 47
47 Matrix Azzopardi 1993	17 1-3-99 DVD 25
55 Private Ryan Spielberg 1998	

$R \bowtie S = \text{Movie.mId} = \text{Tape.movieId}$

25	Amistad ..	Spielberg	1997	11	9-3-98	VHS	25
25	Amistad ..	Spielberg	1997	17	1-3-99	DVD	25
47	Matrix ...	Azzopardi	1993	23	4-6-01	DVD	47

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## Relational Algebra: more operators

- Renaming required, if identical column names
- No canonical projection of columns if columns are redundant

Example above: mId and movieId  
Query with subsequent projection:

"Find title, tapeld and format for all movies"

$\pi_{\text{title, id, format}}(\text{Movie} \bowtie \text{Tape})$   
 $\text{Movie.id} = \text{Tape.movieId}$

Result:

Amistad	11	VHS
Amistad	17	DVD
Matrix	23	DVD

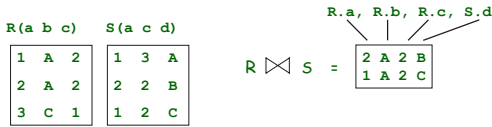
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## Relational Algebra: Natural join

**Natural Join**  $R \bowtie S$ :

equijoin over all literally identical column names of R and S and projection of redundant columns.

Join predicate omitted.



$$R \bowtie S = \pi_{\Sigma(R) \cup \Sigma(S)} (\sigma_P (R \times S))$$

where  $P \equiv \bigwedge R.x = S.x, \quad x \in \Sigma(R) \cap \Sigma(S)$

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## Relational algebra: outer join

Motivation: only tuples of S participate in a join  $R \bowtie S$ , which have a "counterpart" in R.

Customer (mem\_no, name, f\_name, zip, city)  
 Phones (phoneNo, mem\_no)

"Print telephone list of customers"

$\pi_{name, phoneNo} (Customer \bowtie Phones)$

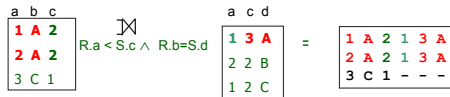
Customers without phoneNo will not appear

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## Relational Algebra: outer join

**Left outer join**  $R \ltimes_S P$

Includes  $(r, \text{NULL}, \dots, \text{NULL})$  – if there is no join partner for  $r \in R$



$$R \ltimes_S P =$$

$$R \bowtie S \cup \{ (r_1, \dots, r_n, \text{NULL}, \dots, \text{NULL}) \mid (r_1, \dots, r_n) \in R, \text{ and for all } (s_1, \dots, s_m) \in S, (r_1, \dots, r_n, s_1, \dots, s_m) \neq \text{FALSE} \}$$

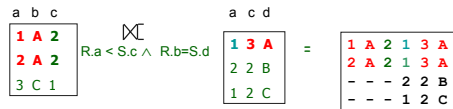
Outer join typically extension of equijoin

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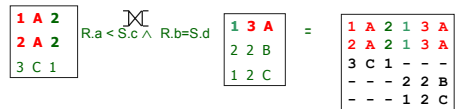
## Relational Algebra: outer join

**Right outer join**  $R \rtimes_S$

Includes  $(\text{NULL}, \dots, \text{NULL}, s)$  – if there is no join partner for  $s \in S$



Full outer join: union of left and right outer join



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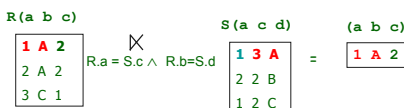
## Relational Algebra More operators

**Semijoin**

$$R \ltimes S = \Pi_{\Sigma(R)} (R \bowtie S)$$

Left Semijoin is the subset of R, each r of which has a corresponding tuple s from S in the join.

Typically extension of equijoin or natural join



Right Semijoin defined symmetrically:

$$R \rtimes S = \Pi_{\Sigma(S)} (R \bowtie S)$$

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## Relational Algebra: Base operators

**Base**

Set of operators which allow to express all other operators  
 e.g.  $\{ \wedge, \vee, \neg \}$  in propositional logic

**Relational operators**

$\pi, \sigma, \times, \setminus$  and  $\cup$  form a basis of relational algebra operators

Means: every RA expression may be expressed only with these operators

Example:  $R \bowtie_S = \sigma_P (R \times S)$

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## Relational Algebra: table predicates

### Row predicates:

p defined over rows (or pairs of rows)

### Table predicates

Example: find all movies which are available in **all** formats  
 Cannot be answered by comparing individual rows

Predicates with universal quantifier are table predicates

e.g.  $P(x) \equiv \forall x \exists y (Q(x,y,m))$

"for all formats (in the database) x there exists a tape y with movie m"

Express table predicates with base operators?

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## Relational Algebra: Division

$T = \pi_{format, movieID} Tape(id, format, movieID)$   $F = \pi_{format} Format(format, extra_Ch)$

1	VHS	7
2	DVD	7
4	VHS	55
5	VHS	1
8	HQ	7
11	VHS	25
17	DVD	25

VHS	0.0
DVD	1.0
HQ	1.5

Result:

1	7
2	7
8	7

Find movies which are available in **all** formats

### Relational Division

Informally  $T \div F$  is the set of all tuples r of T projected on attributes not belonging to F such that  $\{(r)\} \times F \subseteq T$

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## Relational Algebra: an operator based on table predicates

### Relational Division $T \div F$

- Simulates universal quantifier for finite sets
- In order to divide T by F, the attributes of F must be a subset of the attributes of T:  $\Sigma(F) \subset \Sigma(T)$
- Signature of  $T \div F$  is  $D = \Sigma(T) \setminus \Sigma(F)$

$T \div F = \{t' \mid t' \in \pi_D(T) \wedge (\forall s \in F) (\exists t \in T) \pi'_{\Sigma(F)}(t) = s \wedge \pi'_D(t) = t'\}$

$\pi'$  denotes the projection of a row as opposed to  $\pi$ , which is defined on tables.

$\pi_D(T) = \{(7), (55), (1), (25)\}$

$F = \{VHS, DVD, HQ\}$

let  $t'$  be (55), for  $s = (DVD)$  there is

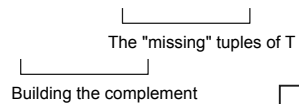
no tuple (DVD, 55) in T.  $t' = (7)$  is the only one which qualifies

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## Relational Algebra Division

$T \div F$  may be defined in terms of other relational operators

$T \div F = \pi_D(T) \setminus (\pi_D(\pi_D(T) \times F) \setminus T)$



Proof: Assignment

Property of relational division:

Let  $D = \Sigma(T) \setminus \Sigma(F)$ ,

if D contains the key of T and  $|F| > 1$  then  $T \div F = \emptyset$

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## Relational Algebra RA expression examples

Examples find algebraic expressions

$C(mem\_No, name, \dots)$   $T(id, m\_Id, f)$   $R(tape\_Id, from, mem\_No, \dots)$

0. Movies (m\_id) and its formats

$M(id, title, \dots)$

$\pi_{m\_id, format}(T)$

1. Tapes loaned by 'Abel'

$\pi_{tape\_id}(R \bowtie_{\sigma_{name='Abel'}}(C))$

2. List of films that are currently available (i.e. not rented by anyone)

$\pi_{title}(M) \setminus \pi_{title}(R \bowtie_{\sigma_{tape\_id=id, m\_id=M.id}} T)$

3. First name, last name of customers who rented "To be or not to be" ....

4. List of customers and the films they have currently rented ...

5. Has 'Bebel' loaned a tape? *Cannot be formulated, why?*

6. Find the films which are available in all formats

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## 6.5 Special Topics of RA

### 6.5.1 RA operators in SQL/DML

- Transformation rule: for every relational algebra expression with join, project, cartesian product and select operations there is an equivalent expression of the form:

$\pi \dots (\sigma_P (R_1 \times R_2 \times \dots \times R_n))$

Simple SQL (Sequel) block:

**SELECT DISTINCT** a,b,...

**FROM**  $R_1, \dots, R_n$

**WHERE** < predicate P >

← projection  
 ← cartesian product  
 ← predicate

DISTINCT : Elimination of duplicates

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## Relational Algebra and SQL

"Find title, tapeld and format for all movies"

```
...
SELECT DISTINCT m.title, t.id,
t.format
FROM Movie m, Tape t
WHERE m.mId(+) = t.movieId
ORDER BY title;
```

Old Notation  
for left outer join,

```
SELECT DISTINCT m.title, t.id, tt.format
FROM Movie m LEFT OUTER JOIN Tape t
ON m.mID = t.movieID
ORDER BY title;
```

New notation

Movies	TapeNO	FORMA
Amistad	11	VHS
A. I.	17	DVD
Matrix	23	DVD
Private Ryan		

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## 6.5.2 Relational completeness

### • Completeness

- A DB language L is called **relational complete**, if every RA expression can be expressed in L
- Are there any operations on relations, which cannot be expressed by a finite RA expression (select, project, product or join; SPJ) ?
- Yes: **transitive closure** of a relation cannot be expressed in this way

Pred	Descend
Paul	Mary
Mary	Peter
John	Bill
Peter	George

No RA expression to find all descendants of 'Paul'.

Recursion is missing!

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## 6.5.3 What is missing in RA

- Arithmetic operators,
- many practically important operators like grouping of results  
"find movies together and their number of copies"

Title	copyCount
Amistad	2
To be or ..	3
Private Ryan	1
Marnie	1
The Kid	2

- More Predicates on tables (not rows)

Anyway relational algebra **important conceptual basis** for query languages and query evaluation

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## 6.5.4 Relational Algebra operator trees

### Algebraic Optimization

- Evaluation of RA expressions in canonical form

$$\pi \dots (\sigma_P (R_1 \times R_2 \times \dots \times R_n))$$

is very inefficient

- How to speed up evaluation of RA (and SQL) expressions?

- Example: Two tables R and S with n and m tuples  
Worst case complexity of :

$$\sigma_P (R \bowtie S)$$

is  $O(m*n)$

- Interchange of select and join may result in  $O(n+m)$  time  $\sigma_P (R) \bowtie S$  depending on the join algorithm

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## Some rewrite rules for RA

### Properties of selection and projection

$$\sigma_P (\sigma_Q (R)) = \sigma_Q (\sigma_P (R))$$

$$\sigma_P (\sigma_P (R)) = \sigma_P (R)$$

$$\sigma_{Q \wedge P} (R) = \sigma_Q (\sigma_P (R)) = \sigma_Q (R) \cap \sigma_P (R)$$

$$\sigma_{Q \vee P} (R) = \sigma_Q (R) \cup \sigma_P (R)$$

$$\sigma_{\neg P} (R) = R \setminus \sigma_P (R)$$

$$\text{if } X \subseteq Y \subseteq \Sigma(R) \text{ then } \pi_X (\pi_Y (R)) = \pi_X (R)$$

$$\text{if } X, Y \subseteq \Sigma(R) \text{ then } \pi_X (\pi_Y (R)) = \pi_{X \cap Y} (R) = \pi_Y (\pi_X (R))$$

$$\text{attr}(P) \subseteq X \subseteq \Sigma(R) \text{ then } \pi_X (\sigma_P (R)) = \sigma_P (\pi_X (R))$$

where  $\text{attr}(P)$  denotes the set of attributes used in P

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## Relational Algebra Using RA for optimization

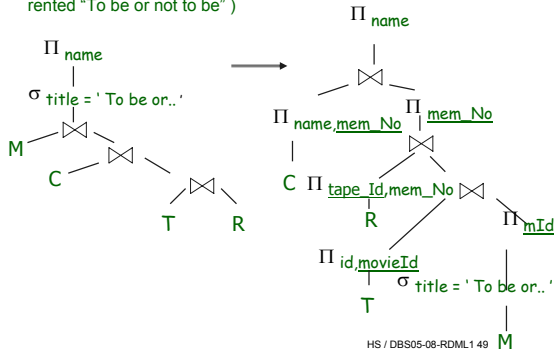
- An relational algebra **operator tree** is the data structure representing a RA expression  
Compare with operator trees for arithmetic expressions
- **Algebraic optimization**: systematic interchange of operation according to the laws of RA
- Does not change time complexity in general, but "makes n small".
- Implementation of Algebraic Optimization by transformation of the operator tree
- Evaluation by recursive evaluation of the tree
- Systematic treatment of different optimization techniques  
→ Part II (Implementation)

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## RA Operator tree transformation: example

Operator tree: example ("Last name of customers who rented "To be or not to be")



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## 6.5.5 Multivalued dependencies and 4NF

Multiple values: example (left over from ch. 5: Normal Forms)

Person (name, affiliation, hobbies)

Meier	FUB	skiing
Müller	TUB	trekking
Meier	FUB	trekking
Schulze	HU	skating

Redundancy introduced by multiple values

'hobbies' is multivalued dependent on name

Definition for single attribute multi valued (MV) dependencies:

Let  $R = (a, y, b)$ ,

$b$  is multivalued dependent on  $a$  ( $a \twoheadrightarrow b$ ) if for each value  $v$  of  $a$   $\{v\} \times (\pi_y(\sigma_{a=v} R)) \times (\pi_b(\sigma_{a=v} R)) \subseteq R$

Example:  $\{ \text{'Meier'} \} \times \{ \text{'FU'} \} \times \{ \text{'skiing', 'trekking'} \} \subseteq \text{Person}$   
 $\{ \text{'Müller'} \} \times \{ \text{'TU'} \} \times \{ \text{'trekking'} \} \subseteq \text{Person}$   
 $\{ \text{'Schulze'} \} \times \{ \text{'HU'} \} \times \{ \text{'skating'} \} \subseteq \text{Person}$

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## Fourth Normal Form

A relation  $R$  is in **Fourth Normal Form** if for every MVD  $A \twoheadrightarrow B$

- $B \subseteq A$  or
- $B = \Sigma(R) \setminus A$  or
- $A$  contains a key

May be easily calculated by splitting up a relation  $R$  with a MVD  $A \twoheadrightarrow B$  into  $R1$  and  $R2$  such that

$\Sigma(R1) = A \cup B$ ,  $\Sigma(R2) = \Sigma(R) \setminus \Sigma(R1) \cup A$

Müller	TUB
Meier	FUB
Schulze	HU

Müller	trekking
Meier	trekking
Meier	skiing
Schulze	skating

Better to have multi valued attributes?

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## Summary

- Relational algebra: algebra on tables
- Operators: project, select, cartesian product, union, set difference, (rename)
- Several compound operators : join, outer join, semi-join, division
- Serves as a basis for relational DB languages
- No recursion  $\Rightarrow$  not computationally complete
- Base of SQL
- Used for optimization by operator tree transformation

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