

4 Schema Definition with SQL / DDL (II)

4.3 SQL/DDDL – Constraints

- 4.3.1 Attribute and simple table constraints
- 4.3.2 Enforcing cardinality constraints and foreign keys
- 4.3.3 Deferred constraints
- 4.3.4 Assertions and triggers
- 4.3.5 Case study
- 4.3.6 Metadata management
- 4.3.7 Modifying and deleting definitions and more...

4.3 SQL/DDDL - Constraints

Different kinds of integrity constraints

Important

- on attributes, column constraints
" 0 <= accountValue ", "must not be NULL"
- cardinalities
- "semantic" constraints ("business rules")
e.g. "The percentage of movies not older than one year must be 25% or more"
" After 100 loans a video tape (not DVD) has to be discarded"
- **Column constraint**
Specify constraint as part of column definition
- **Table constraint** More than one row involved, specify constraint after the column definitions

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Constraints

Constraints may be violated when DB is changed (update, insert, delete)

⇒ Exception

```
ORA-02291: integrity constraint (SYS_C0067174)
violated - parent key not found
```

Constraint name (optional)

```
CONSTRAINT <name> <def>
```

Advantage: error message shows violated constraint in a readable way

```
ORA-02291: integrity constraint
(FKMovieOnTape.SYS_C0067174) violated - parent
key not found
```

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4.3.1 Attribute and simple table constraints

• PRIMARY KEY

- Only once per table
- Not necessary, but omission is bad style
- May be column constraint (single attribute) or table constraint

```
CREATE TABLE Tape(
  t_id      INTEGER PRIMARY KEY,
  m_id      INTEGER NOT NULL,
  format    CHAR(5),
  aquired   DATE )
```

```
CREATE TABLE People(
  name      VARCHAR(20),
  birth_date DATE, ...,
  CONSTRAINT pk PRIMARY KEY (name, birth_date)
)
```

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Not Null constraint

• NOT NULL

Simplest constraint on attribute values, column constraint

```
CREATE TABLE ExtraCharge (
  format    CHAR(5) NOT NULL,
  extraCh   DECIMAL(3,2))
```

• Default values

```
<attributeName> <attributeType> DEFAULT <value>
```

• UNIQUE

- Column contains only unique values
- Left over from SQL-89 (no primary key constraint)
- Should be used for candidate keys
- Column constraint or table constraint

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Unique semantics

Strange UNIQUE semantics:

a column with more than one NULL value does not violate the UNIQUE constraint

```
CREATE TABLE uniqueTest (
  x INTEGER UNIQUE,
  y VARCHAR(2)
);
INSERT INTO uniqueTest
VALUES (NULL, 'a');
INSERT INTO uniqueTest
VALUES (NULL, 'a');
SELECT * FROM uniqueTest;
```

Simple example

Therefore UNIQUE without NOT NULL does not really make sense.

SQL/DML statements, will be discussed later

```
----- x y
1 a
a
a
```

UNIQUE + NOT NULL = PRIMARY KEY

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CHECK clause

Predicates which must hold for each row

Enumeration:

```
CHECK (VALUES IN ('comedy', 'entertainment',
'suspense', 'drama', 'action', 'sci-fi'))..
```

Interval restriction:

```
CHECK (extraCh >= 0),
CHECK (extraCh < 10)
```

equivalent to

```
CHECK (extraCh >= 0 AND extraCh < 10)
```

Multicolumn constraint:

```
CREATE TABLE Accounts (
... amount DECIMAL(9,2),
... credit DECIMAL(7,2),...
CONSTRAINT accountIsPos
CHECK amount + credit > 0 )
```

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Use of table constraints

General constraint syntax

for column (except NOT NULL) and table constraints

```
CREATE TABLE <tab> (< listOfColumnSpecs>
[[CONSTRAINT <constraintName>]
<constraint on columns or table>]0..n
)
```

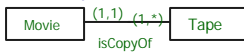
Constraint may be UNIQUE / PRIMARY KEY or CHECK clause or REFERENCES

```
CREATE TABLE Customer(
...
name VARCHAR(40),
zipcode VARCHAR(6),
...
CONSTRAINT customerUniqueness
UNIQUE (name, zipcode, street, no)
)
```

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4.3.2 Enforcing cardinality constraints

"Hard code" cardinality constraints in the database schema



```
Tape(id, acqDate, format, m_Id )
Movie(m_id, title, category, price_day,
director, year)
```

(1,..) ⇒ NOT NULL constraint sufficient ?

```
CREATE TABLE Tape (
t_id INTEGER PRIMARY KEY,
acquired DATE,
format CHAR(5) NOT NULL,
mId INTEGER NOT NULL)
```

Sufficient to enforce constraint only if foreign key

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Foreign keys

- Constraint `NOT (m_ID IS NULL)` always true in example above for every row ... but the value of `m_id` may not be among the `mId` values in table `Movie`
- `m_ID` is a foreign key in `Tape` table
 - Should exist, otherwise "missing entity" ~"dangling pointer"
 - "Find title of movie on tape with id=1175" would fail

```
CREATE TABLE Tape (
...
movieId INTEGER NOT NULL,
CONSTRAINT movieExists
FOREIGN KEY (movieID) REFERENCES Movie(mId)
);
```

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Foreign keys

Let R be a relation with key k

Important concept

fk $\subset \Sigma(S)$ of relation S is foreign key if for all tuples $s \in S$ holds:

1. The attributes of s.fk contain only NULL values or only values \neq NULL
2. If all attributes of s.fk have value \neq NULL there exists a tuple $r \in R$ and the value of s.fk is the key of s

Tape			Movie		
id	format	movie_id	id	title	
0001	DVD	095	095	Psycho	
0004	DVD	345	345	Star Wars I	
0005	VHS	345	
0009	VHS	345	
...	

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Preserving referential integrity

Referential integrity means:

Foreign key constraint holds

important

DBS has to prevent violations

- prevent execution of SQL statements which violate referential Integrity
- INSERT, UPDATE, DELETE statements modify state
- Update and Delete of a table may cause a violation
- Violations cause an exception
- Avoid violations by appropriate actions specified in a REFERENCES clause of the referencing table

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Preserving referential integrity

- Actions on referenced tables:
 - ON DELETE CASCADE**
 - delete all referenced tuples
 - e.g. if a movie is deleted, all tapes with this movie are deleted from the database
 - ON DELETE SET NULL**
 - ON DELETE DEFAULT**
 - ON UPDATE CASCADE**
 - update key in referencing table
 - e.g. movie gets a new key, update value used as foreign key in the same way
 - ON UPDATE SET NULL**
 - ON UPDATE SET DEFAULT**

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Example: Referential Integrity in SQL/DDDL

```
CREATE TABLE Tape(
  t_id    INTEGER PRIMARY KEY,
  format  VARCHAR(5) NOT NULL,
  m_id    INTEGER NOT NULL,
  CONSTRAINT tapeNotEmpty
  FOREIGN KEY (m_id) REFERENCES Movie(m_id)
  ON DELETE CASCADE,
  CONSTRAINT formatCheck
  FOREIGN KEY (format) REFERENCES Format(name)
  ON DELETE SET DEFAULT);
```

ON condition preserves constraint

Format		Tape			Movie		
format	extractH	t_id	format	movie_id	t_id	title	
DVD	1.00	0001	DVD	095	095	Psycho	
DVD	1.00	0004	DVD	345	345	Star Wars I	
VHS	0.00	0005	VHS	345	
VHS	0.00	0009	VHS	345	

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Example (cont.)

Tape			Movie		
t_id	format	movie_id	t_id	title	
0001	DVD	095	095	Psycho	
0004	DVD	345	345	Star Wars I	
0005	VHS	345	
0009	VHS	345	

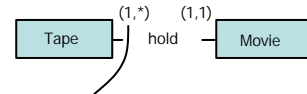
Delete from Movie
Where id = 345;

Tape			Movie		
t_id	format	movie_id	t_id	title	
0001	DVD	095	095	Psycho	
...	

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SQL / DDL: Integrity constraints

- Cardinality constraints

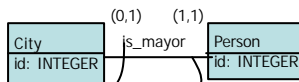


- NOT NULL ensures min = 1

```
CREATE TABLE Tape(
  id    INTEGER PRIMARY KEY,
  format CHAR(10) NOT NULL,
  m_id  INTEGER NOT NULL,
  CONSTRAINT tapeNotEmpty
  FOREIGN KEY (m_id) REFERENCES Movie(m_id),
  CONSTRAINT formatCheck
  FOREIGN KEY (format) REFERENCES Format(name));
```

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Cardinality constraints



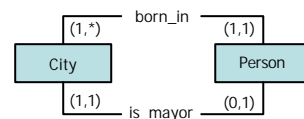
- NOT NULL ensures min = 1
- UNIQUE ensures max = 1

```
CREATE TABLE City(
  id    INTEGER PRIMARY KEY,
  mayor INTEGER UNIQUE NOT NULL,
  CONSTRAINT mayorFK
  FOREIGN KEY (mayor) REFERENCES Person(id));
```

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Circular relationships

Example



- Table must be created in order to be referenced
- How to define "circular" constraints?
 - Specify constraints after table definition

```
ALTER TABLE Person
  ADD CONSTRAINT birthPlaceReference
  FOREIGN KEY (birthplace)
  REFERENCES City(id);
ALTER TABLE Person
  MODIFY COLUMN( birthplace NOT NULL);
```

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4.3.3 Deferred constraints

The Chicken-Egg problem

```
CREATE TABLE chicken(cID INT PRIMARY KEY,
                     eID INT);
CREATE TABLE egg(eID INT PRIMARY KEY,
                 cID INT);

-- Example by J. Widom et al.
ALTER TABLE chicken
ADD CONSTRAINT chickenREFegg
FOREIGN KEY (eID) REFERENCES egg(eID);

ALTER TABLE egg
ADD CONSTRAINT eggREFchicken
FOREIGN KEY (cID) REFERENCES chicken(cID) ;
```

What happens if an egg / chicken is inserted?

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Deferred constraints

Insertion violates foreign key constraint

```
INSERT INTO chicken VALUES(1, 2);
ORA-02291: integrity constraint
(chickenREFegg.SYS_C0067174) violated - parent
key not found
INSERT INTO egg VALUES(2, 1);
ORA-02291: integrity constraint
(eggREFchicken.SYS_C0067174) violated - parent
key not found
```

Defer constraint checking

```
ALTER TABLE chicken
ADD CONSTRAINT chickenREFegg
FOREIGN KEY (eID) REFERENCES egg(eID)
INITIALLY DEFERRED DEFERRABLE;
```

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Deferred constraints and transactions

Deferred constraints checked at the end of a transaction
Transaction: unit of work consisting of one or more operations on the DB
"COMMIT" closes a transaction

```
INSERT INTO chicken VALUES(1, 2);
-- constraint not checked here
INSERT INTO egg VALUES(2, 1);
COMMIT; -- but here
```

Variants

```
INITIALLY DEFERRED DEFERRABLE
INITIALLY IMMEDIATE DEFERRABLE
SET CONSTRAINT <name>
[DEFERRED|IMMEDIATE]
```

allow checking at arbitrary times

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Complex CHECK clauses

CHECK clause may be an arbitrary predicate over the DB (SQL 99)

```
CREATE TABLE competitors_Price(title:..., price...)
CREATE TABLE movie (...
CONSTRAINT price_Limit
CHECK (" At least 4 * lowest price")) ]
```

Will be specified as SQL SELECT clause

CHECK clause defined as a predicate over one table only in most DBS implementations

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4.3.4 Assertions and triggers

• Assertions

- Integrity constraints defined independently from table definitions
- Similar to CHECK constraints assigned to a table, when evaluated to FALSE: exception
- semantic difference
Table assigned constraints always hold for empty tables

```
CREATE ASSERTION never_noMovie
CHECK (--"always at least one movie in
-- Movie table"
SELECT ... )
```

- Would be always TRUE as a table assigned check clause, but not as an assertion
- Most current DBS do not support sophisticated constraints, e.g. table independent assertions

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Assertions and triggers SQL / DDL

• Trigger

(<predicate>, <action>)-rule

Semantics:

if <predicate> is true after DB state is changed

<action> is performed

- CHECK clause: exception if violated
- TRIGGER: action is performed if condition holds
- <action> may be
 - some operation on DB, e.g. cleanup

```
CREATE TRIGGER alwaysTape
AFTER INSERT ON Movie
REFERENCING NEW ROW AS m
FOR EACH ROW WHEN
NOT EXISTS (SELECT * FROM Tape
            WHERE movie_id=m.id)
<do something>; -- e.g. insert tape
```

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TRIGGER

- Example for action on DB

```
Insert into Order_Line_Items ...(4711,50)
```

```
Order_Line_Items(itemNo,qty, orderNo)
```

Triggered update

```
Inventory (partNO, stock,reserved..)
```

```
CREATE TRIGGER my_Trigger
...UPDATE..ON Order_Line_Items
UPDATE inventory
SET reserved = reserved + qty
WHERE partNo = itemNo
```

trigger event

trigger action

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Triggering applications

- Triggers very useful for triggering actions outside DB
 - Triggering audit trails
If table is changed store an entry about this event in a special place (the audit trail)
 - Triggering an application program
If a customer has rented a tape and she has a non-NULL email address, send her a mail

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4.3.5 Case study

- Relation Schema Video-DB

```
CREATE TABLE Movie(
m_id      INTEGER PRIMARY KEY,
title     VARCHAR(60) NOT NULL,
cat       CHAR(20),
year      DATE,
director  VARCHAR(40),
price_Day DECIMAL(4,2),
length    INTEGER,
CONSTRAINT plausible_year
CHECK (year > TO_DATE('01.01.1900','DD.MM.YYYY')),
CONSTRAINT allowedPrice
CHECK ( (price_Day >= 0) AND (price_Day < 10.0) );
);
```

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Example

```
CREATE TABLE Customer (
mem_no    INTEGER PRIMARY KEY,
name      VARCHAR NOT NULL,
first_name VARCHAR(30),
zip       CHAR (10),
city      VARCHAR(30),
);
```

```
CREATE TABLE Phones(
phone     VARCHAR(40),
customer  INTEGER,
CONSTRAINT pk_phone
PRIMARY KEY(phone,customer),
CONSTRAINT fk_phone
FOREIGN KEY (customer)
REFERENCES Customer (mem_no)
ON DELETE CASCADE
);
```

```
CREATE TABLE Tape(
t_id      INTEGER PRIMARY KEY,
m_id      INTEGER NOT NULL,
format    CHAR(5),
aquired   DATE,
CONSTRAINT movieExists
FOREIGN KEY (m_id) REFERENCES movie(m_id)
ON DELETE CASCADE );
```

```
ALTER TABLE Tape
ADD ( CONSTRAINT formatExists
FOREIGN KEY (format) REFERENCES Format(format)
ON DELETE SET NULL);
```

Example

```
CREATE TABLE Format(
format    CHAR(5) PRIMARY KEY,
extraCh   DECIMAL (3,2)
);
```

```
CREATE TABLE Rental(
tape_id   INTEGER NOT NULL,
mem_no    INTEGER,
from_date DATE NOT NULL,
until_date DATE,
PRIMARY KEY (tape_id, from_date),
CONSTRAINT fk_Tape
FOREIGN KEY (tape_id) REFERENCES Tape(t_id)
ON DELETE CASCADE,
CONSTRAINT fk_Customer
FOREIGN KEY (mem_no)
REFERENCES Customer (mem_no)
ON DELETE CASCADE);
```

HS / DBS04-06-DDL 2 30

```

CREATE TABLE Actor (
  stage_name VARCHAR(30)
  PRIMARY KEY,
  real_name VARCHAR(30),
  birth_date DATE
);

CREATE TABLE Starring (
  movie_id INTEGER,
  actor_name VARCHAR(30),
  CONSTRAINT pkStarr
  PRIMARY KEY (movie_id, actor_name),
  CONSTRAINT foreignKeyMovieID
  FOREIGN KEY (movie_id)
  REFERENCES Movie (m_id)
  ON DELETE CASCADE,
  CONSTRAINT foreignKeyStageName
  FOREIGN KEY (actor_name)
  REFERENCES Actor(stage_name)
  ON DELETE CASCADE
);

```

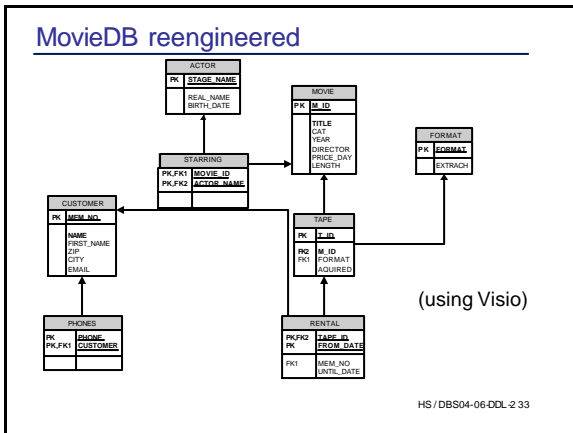
Referenced column must be unique

Note on Relational Database Design

Direct design of Relational DB

- some design tools do not support ERM but use RDM directly
- Relationships defined by foreign keys
- Reengineering tools produce RDB schema with foreign keys

HS / DBS04-06-DDL-2 32



4.3.6 Metadata management

Meta data

- All definitions and other "data on data" are called metadata
- Stored in system data structures
- Data structures for metadata called "the catalogue" or "data dictionary" in particular when used for more than one DB
- In most systems stored as tables
- Makes metadata "first class": may be queried und modified in the same way as the data tables

Select <Table_Name> from User_Tables;

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Metadata management: example

Querying the catalog using SQL:
a first example (ORACLE)

Attributes of the user_constraints table

```

SQL> SELECT constraint_name,search_condition,
         delete_rule
       FROM user_constraints
       WHERE table_name = 'MOVIE';

```

Result

CONSTRAINT_NAME	SEARCH_CONDITION	DELETE_RULE
SYS_C001360	" TITLE" IS NOT NULL	
PLAUSIBLE_YEAR	year > TO_DATE('01.01.1900','DD.MM.YYYY')	
ALLOWEDPRICE	pricePDay >= 0) AND (pricePDay < 100.0)	
SYS_C001363	TAPE MOVIE	CASCADE

No standard for metadata management! completely different in Postgres!

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Virtual tables: views

More SQL Schema Definition Statements

- CREATE TABLE defines base tables
- View: virtual table, has a definition, but no extent, definition is executed when table is accessed

```

CREATE VIEW <name> AS <SQL-select>
e.g. CREATE VIEW C_T_List AS
     SELECT tapeId, cuNo FROM Rents;

```

May be used as ordinary tables for reads, updates more involved

To be discussed later...

HS / DBS04-06-DDL-2 36

4.3.7 Modifying and deleting definitions

ALTER TABLE

```
ALTER TABLE <tableName> <redefinition>;
```

Add a column:

```
ALTER TABLE Tape
ADD (AquiredBy CHAR(20));
```

Modify type:

```
ALTER TABLE Tape
MODIFY (AquiredBy CHAR(30));
```

Many more variants of ALTER statement
see manual

HS / DBS04-06-DDL-2 37

Deletion of schema elements

Table delete

Delete table only if not referenced

```
DROP TABLE <tableName> restrict;
```

Delete table and reference

```
DROP TABLE <tableName> cascade;
```

Oracle:

```
DROP TABLE <tableName>
cascade constraints;
```

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Sequences

Sequences of numbers....

- useful for artificial keys: 1,2,3,...4711,...
- Where is the problem or
How to count consistently?
- Persistence required!

• Pseudo columns

```
Create SEQUENCE tapeSeq; -- different
                                -- options

INSERT INTO Tape
(t_Id,m_Id,format,aquired)
VALUES
(tapeSeq.nextval,4711,'DVD','2003-4-4');
```

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Oracle and more

• Oracle

- PRIMARY KEY, NOT NULL, UNIQUE, FOREIGN KEY, REFERENCES, CHECK supported, uses sequence objects

• Postgres

- very similar to ORACLE (SQL99), SERIAL type as an abbreviation of sequence objects

• MySQL (V3.x)

- PRIMARY KEY, NOT NULL, UNIQUE supported
- FOREIGN KEY, REFERENCES, CHECK accepted (for compatibility) but not supported
- Simple but risky creation of unique artificial key values: <attributName><attributeType>

```
[<constraint>][AUTO_INCREMENT]
```

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Summary

- Standard Query Language (SQL)
 - Data definition language (DDL)
 - Data manipulation language (DML)
 - In almost all current DBMS
 - All SQL implementations differ from standard
 - core SQL99 is basically supported by high-end DBS
- Important terms and concepts
 - Data types
 - Create, change, delete tables
 - Referential integrity
 - Integrity constraints
 - TRIGGERS

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