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Course "Empirical Evaluation in Informatics"

Quasi-Experiments

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http://www.inf.fu-berlin.de/inst/ag-se/

- Example 1: language comparison
- Method: Like controlled experiment
  - but with incomplete control
  - typically non-randomization
- Example 2: effects of the workplace

"Empirische Bewertung in der Informatik"

Quasi-Experimente

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- Beispiel 1: Vergleich von Programmiersprachen
- Methodik: wie kontrolliertes Experiment
  - aber mit unvollständiger Kontrolle
  - meist fehlt Randomisierung
- Beispiel 2: Wirkung von Arbeitsplatzbedingungen

Example 1: Comparing 7 programming languages

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- Lutz Prechelt:
  "An empirical comparison of seven programming languages",
  IEEE Computer, October 2000

- Question: How do many implementations of the same string processing program compare for C, C++, Java, Perl, Python, Rexx, and Tcl?

- Study format: Quasi-experiment

Approach

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- Have several dozen different authors write an implementation for a given requirements specification
  - They use a programming language of their own choice
  - Independent variable: Programming language used

Dependent variables:

- Measure the time required by the programmers

- Measure various attributes of the resulting programs:
  - program length
  - run time
  - memory consumption
  - reliability

Task: Phonecode

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- The program converts 'telephone numbers' into word sequences
- Words come from a 73 000 word dictionary
- Conversion is based on the following mapping
  e jnq rwx dsy ft am civ bku lop ghz
  0 111 222 333 44 55 666 777 888 999
- When no completion of a partial word sequence exists, the program may insert one of the original digits between two words
- Input text files: dictionary, telephone numbers
- Output format:
  3586-75: Dali um
  3586-75: Sao 6 um
  3586-75: da Pik 5

Origin and number of programs per language

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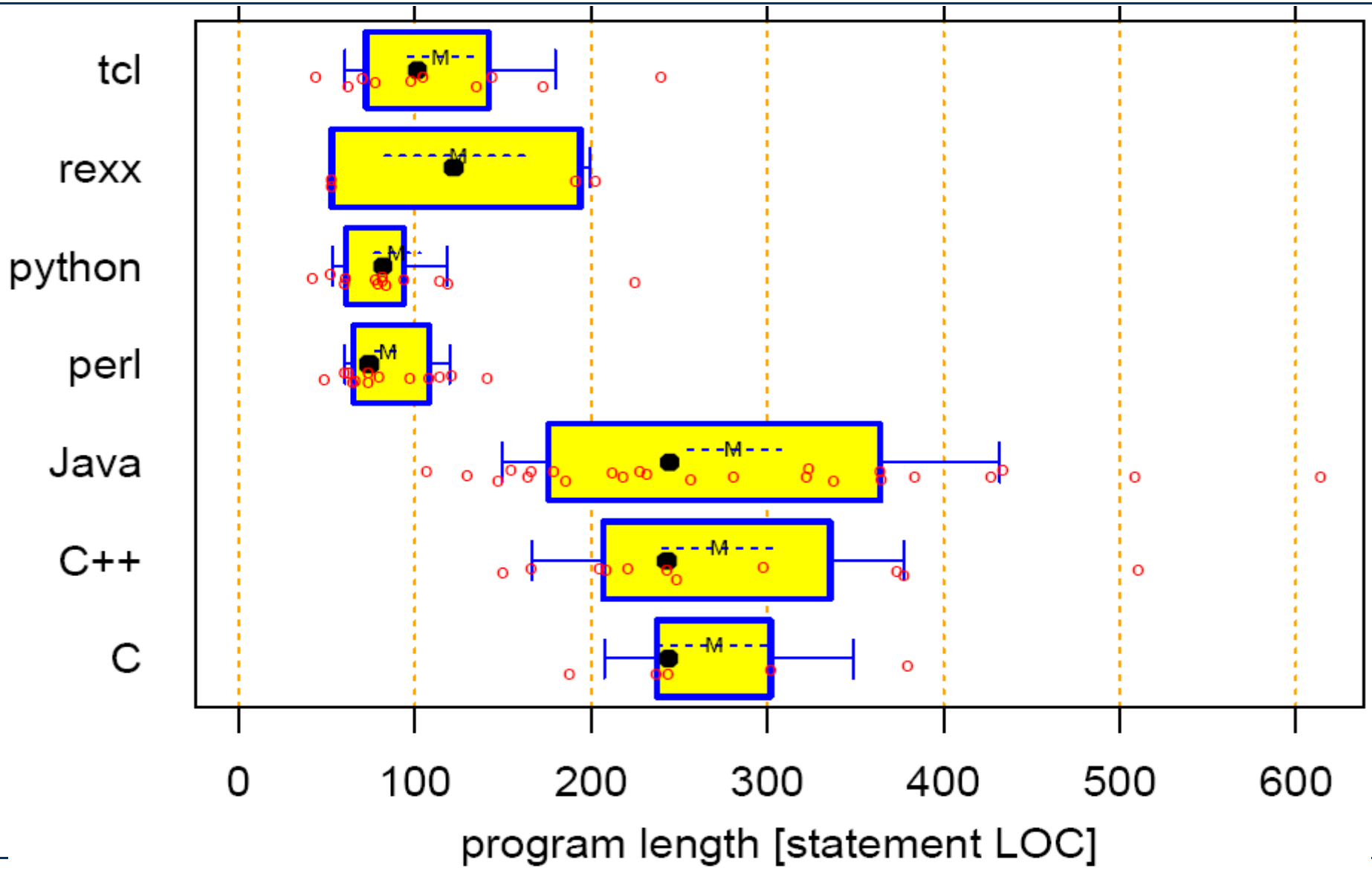
- C, C++, Java ("non-script group"):
  Created by subjects of a controlled experiment about the PSP method
- Perl, Python, Rexx, Tcl ("script group"):
  Created by volunteers found via a public call for participation in Usenet newsgroups
  - solutions submitted by Email

Language	Number of programs	Compiler or execution platform
Tcl	10	Tcl 8.2.2
Rexx	4	Regina 0.08g
Python	13	Python 1.5.2
Perl	13	Perl 5.005_02
Java	24	Sun JDK 1.2.1/1.2.2
C++	11	GNU g++ 2.7.2
C	5	GNU gcc 2.7.2

Results: Program length



Results:
Run time for loading/initialization

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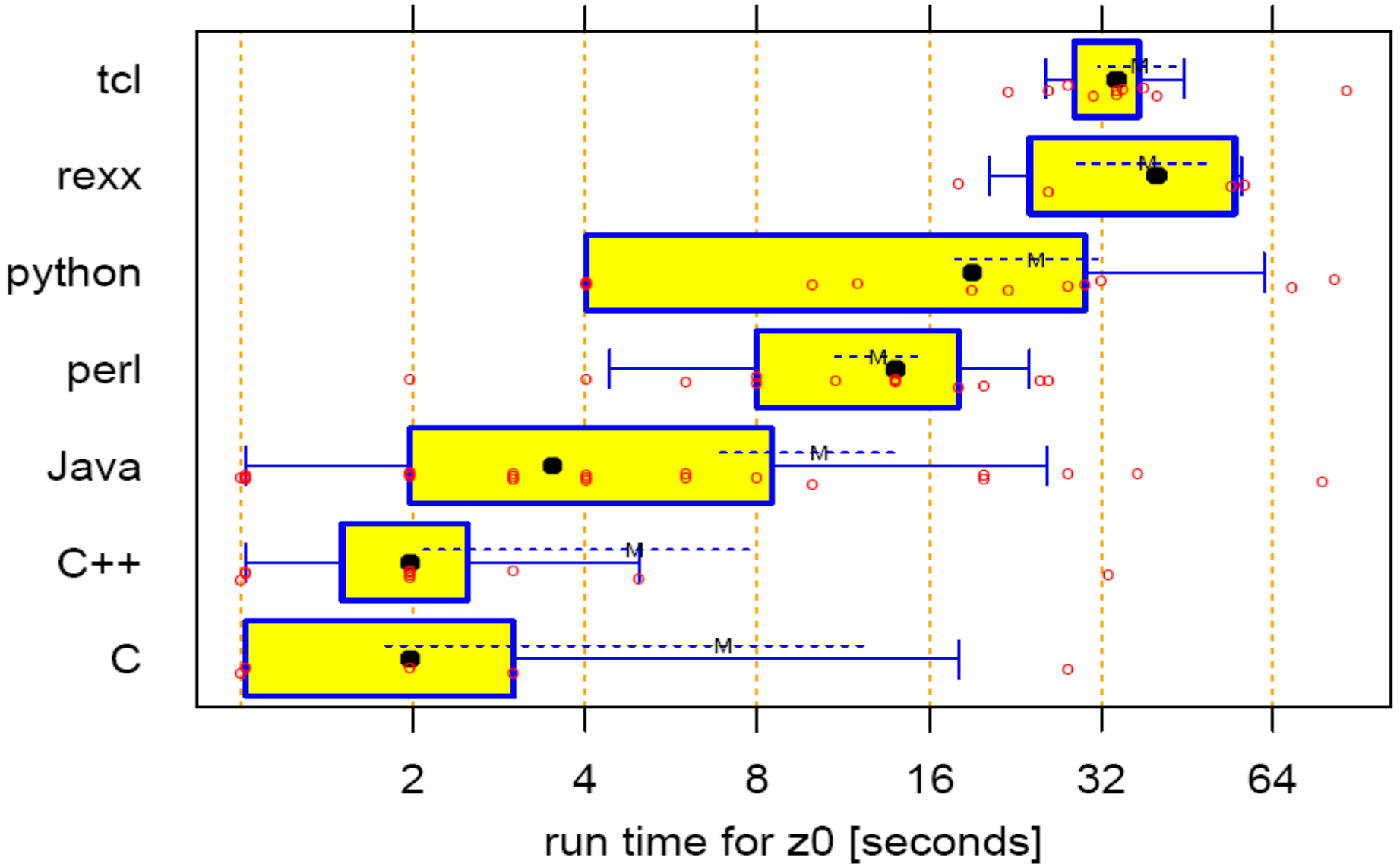

Results:
Run time without loading/initalization

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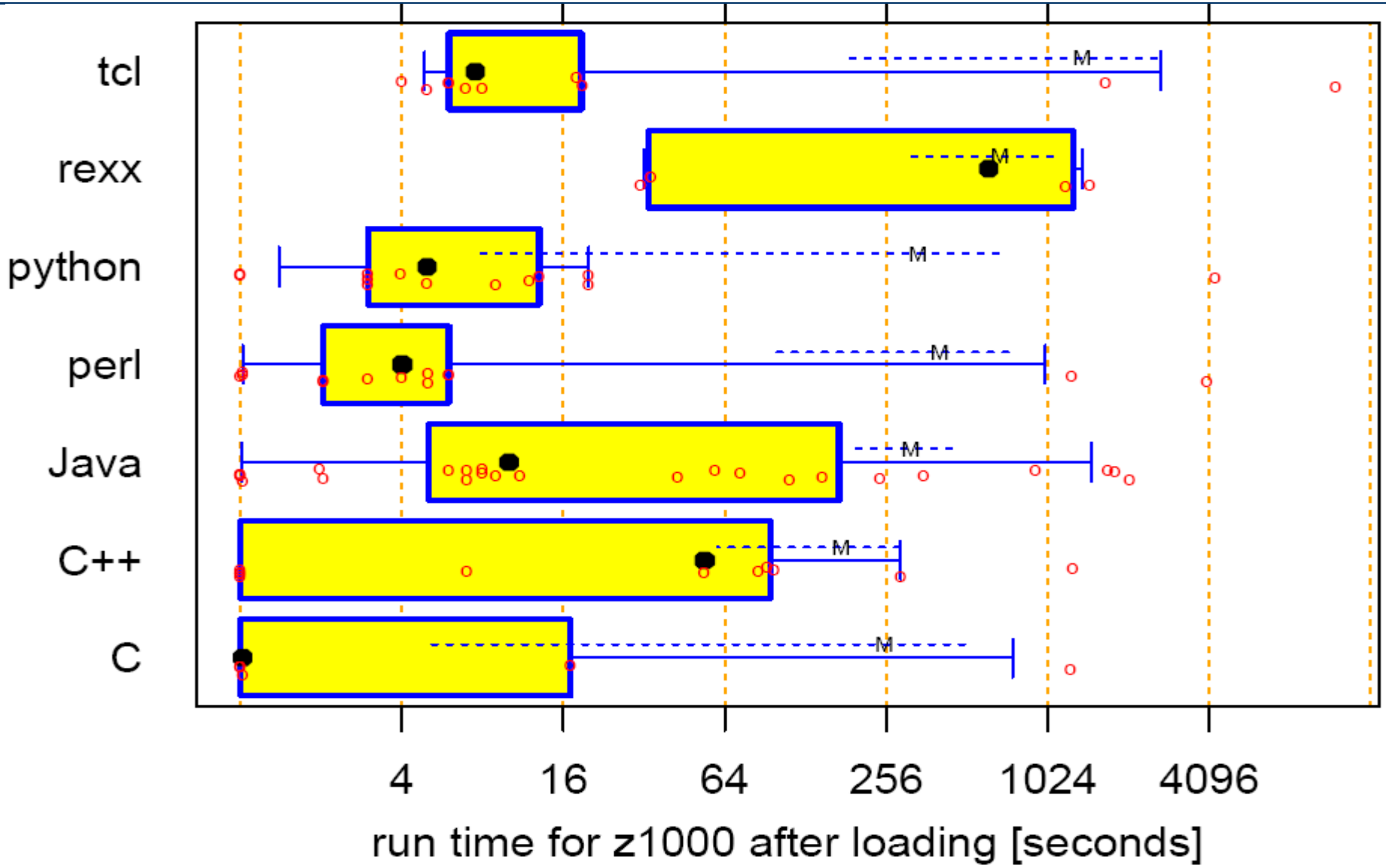

Results: Run time

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- Not many differences are statistically significant,
  - because of the high variance within the groups
- If we aggregate as follows: 1. C/C++ , 2. Java, 3. Script group, we can say the following with 80% confidence:

- Initialization phase:
  - Java took at least 1.3 times as long as C/C++ (on avg.)
  - Scripts took at least 5.5 times as long as C/C++ (on avg.)
- Search phase:
  - No significant differences in mean times
  - But variability in script group was smaller by a factor of 2.1 to Java and a factor of 3.4 to C/C++
- Total run time:
  - C/C++ was at least a little faster than Java (p=0.07) and than scripts (p=0.15)

Results: Memory consumption

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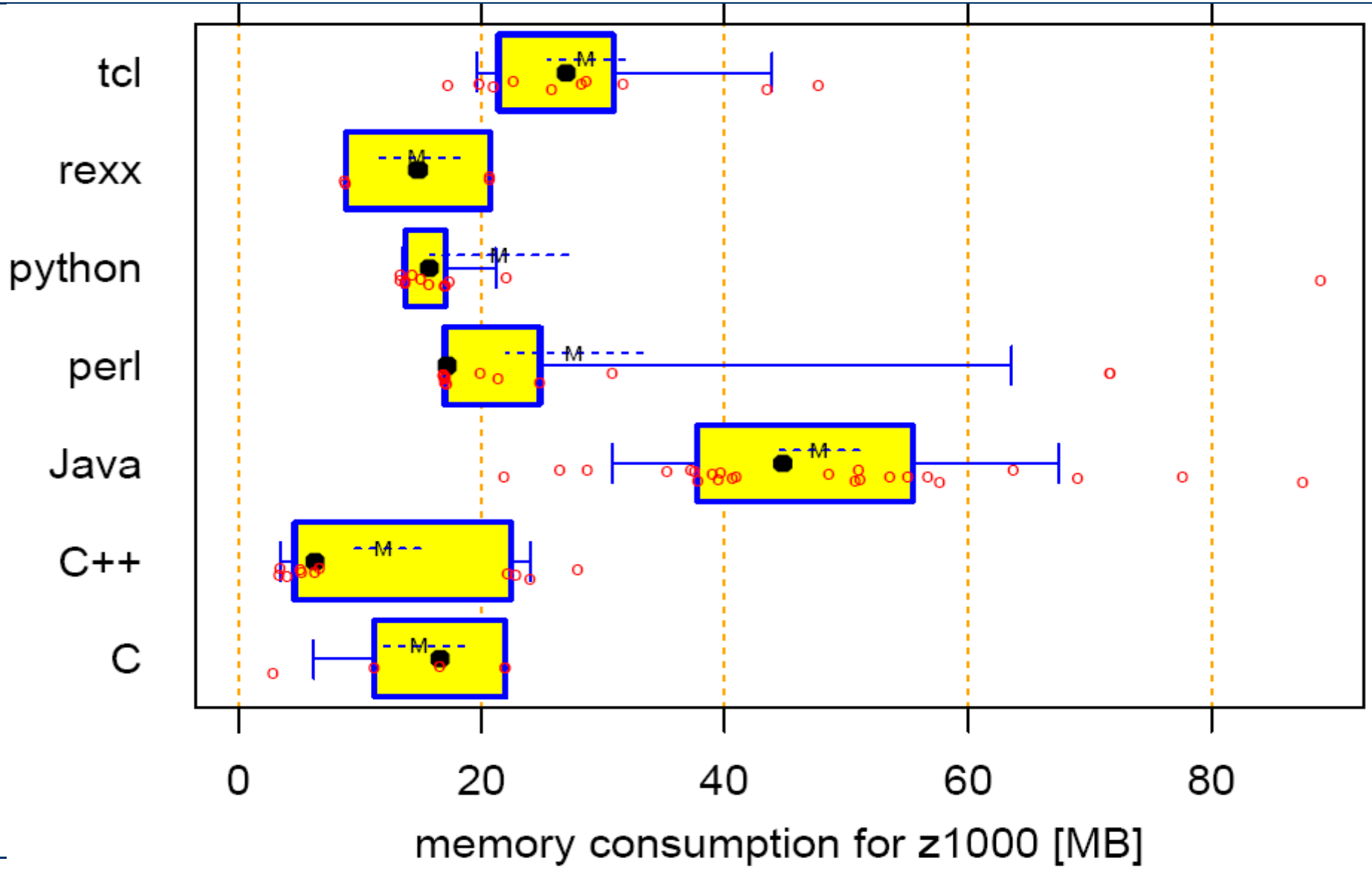

Results: Memory consumption

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- C/C++ was most memory-effective
- Java was least memory-effective
- Script programs (except Tcl) were not worse than the worse half of C/C++
- Python and Perl had less variability than C/C++
- With a confidence of 80%:
  - Java consumed at least 32 MB (297%) more than C/C++
  - and 20 MB (98%) more than the script programs

Results: Work time

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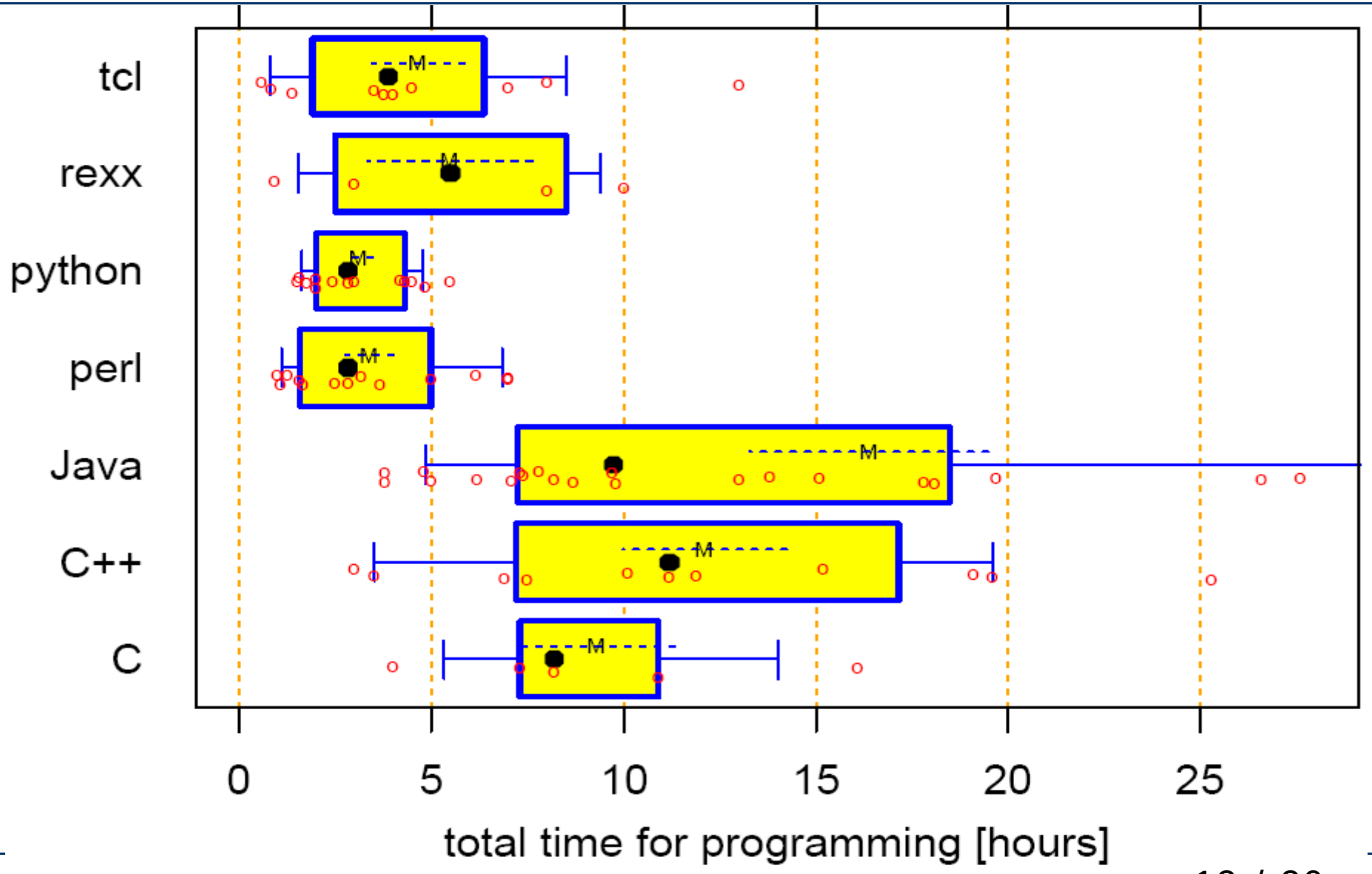

Results: program design

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A qualitative finding when looking at the data structures used by the programs:

- Most script programs used associative arrays
  - Map from a digit sequence to a word
  - Built into all script languages
- Essentially all non-script programs used either
  - one large array, indexed only by first digit
    - leads to very inefficient solution
  - or a 10-ary tree
    - very efficient, but also complicated

Validity problems

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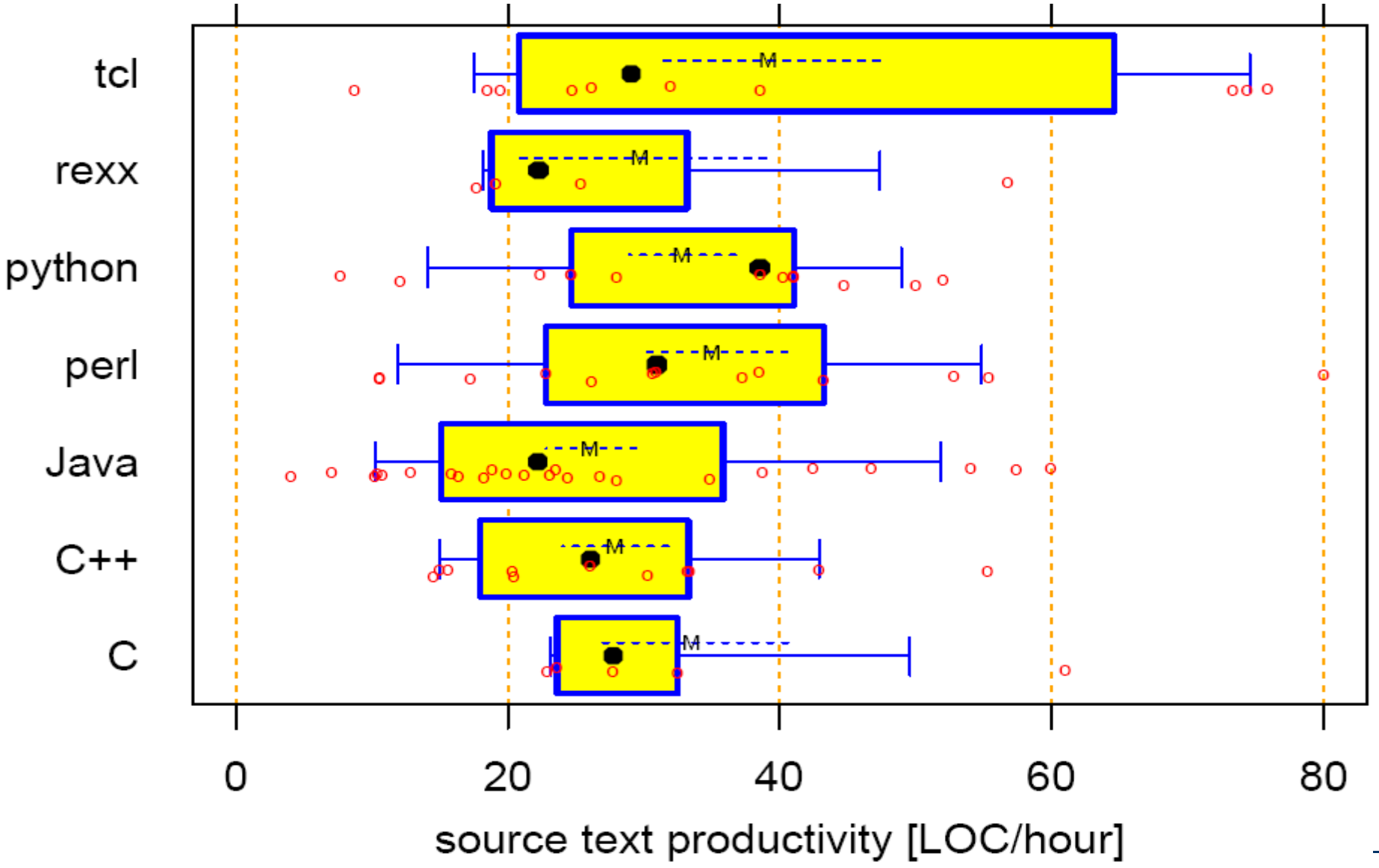
With respect to internal validity, there are two problems:

- Programmer capabilities
  - Are the programmers comparable (per language group)?
  - Or have the most capable ones prefered certain languages?
    - There is some indication that the Perl programmers may have been above average
    - As Java had been very young at the time (1996/97), the average Java language experience may be below average
    - The rest appears reasonably even
- Work times of script group
  - Maybe the script group has cheated about their reported work time?
  - Can we find the plausible cheating candidates from the data we have?

Work time validation



Summing up: Results

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For the given problem(!):

- Script programs were only half as long as non-script programs
- For this reason, they also took only half as long to write
- They were much slower in the I/O-intensive init phase
  - but hardly slower in the actual search phase
- They consumed more memory than C/C++ programs
  - but not more than Java programs

- Note: Keep in mind that the Java data was produced using JDK 1.2 and Java-inexperienced programmers

Quasi-experiment general method

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- A quasi-experiment resembles a controlled experiment:
  1. One thing is varied
     - It is called the experiment variable or independent variable
       - (There could be more than one)
  2. The rest is kept constant
     - These things are called extraneous variables
     - If human beings are involved, repetition is used
  3. Some result variables are observed
     - They are called the dependent variables

- But the control is incomplete
  - Some of the attributes may lack constancy
  - Typical control reductions are discussed on the next slide

Typical control reductions

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- Lack of randomization in group assignment
  - Self assignment
    - Subjects chose a group based on personal preference [as above]
  - Historical assignment
    - Groups exist before the experiment is even planned
  - Assignment by an outsider
    - e.g. a project manager assigns people using project criteria
- Different handling
  - The groups may be instructed, supervised, equipped etc. in a different way [as script vs. non-script groups above]
- Less reliable measurement
  - e.g. data measured by participants rather than the experimenter [as work time for the script groups above]
- etc.

Example 2: Effects of the workplace

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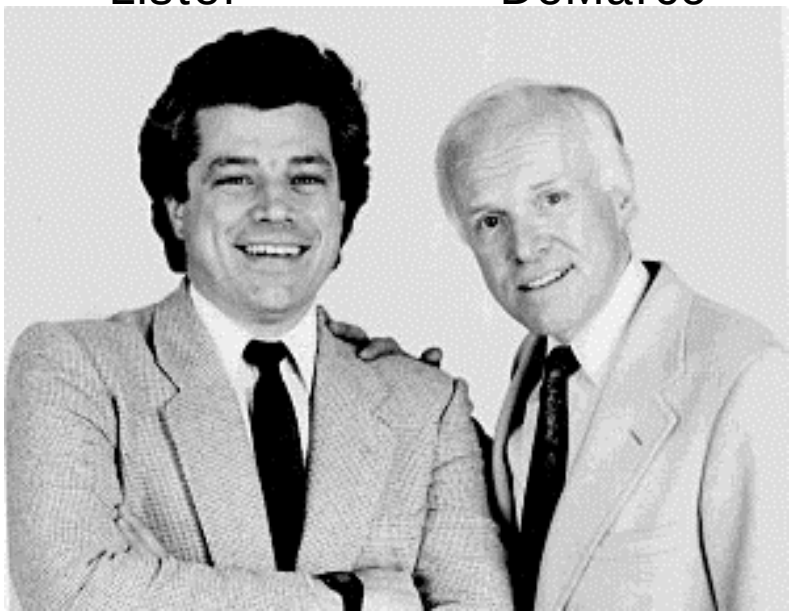
- Tom DeMarco, Tim Lister:
  "Programmer performance and the effects of the workplace",
  Intl. Conf. on SW Engineering, IEEE CS press, 1985

- Question: Do high-performer or low-performer programmers cluster in different organizations?

- Study type: Quasi-experiment

Lister DeMarco



Approach

- 35 organizations participated with one or more pairs of programmers, 166 programmers overall
- Each programmer solved the same task
  - working in their usual programming language, working environment, and work hours
    - more than 8 different languages were used overall
- Each programmer kept track of the time until two milestones:
  - 1. First clean compile , 2. Work completed
    - The first 100 participants tested the program of their pair-mate, the others tested their own
- Time log includes periods and types of work and interruption
- Each answered questionnaire about workplace conditions

Task

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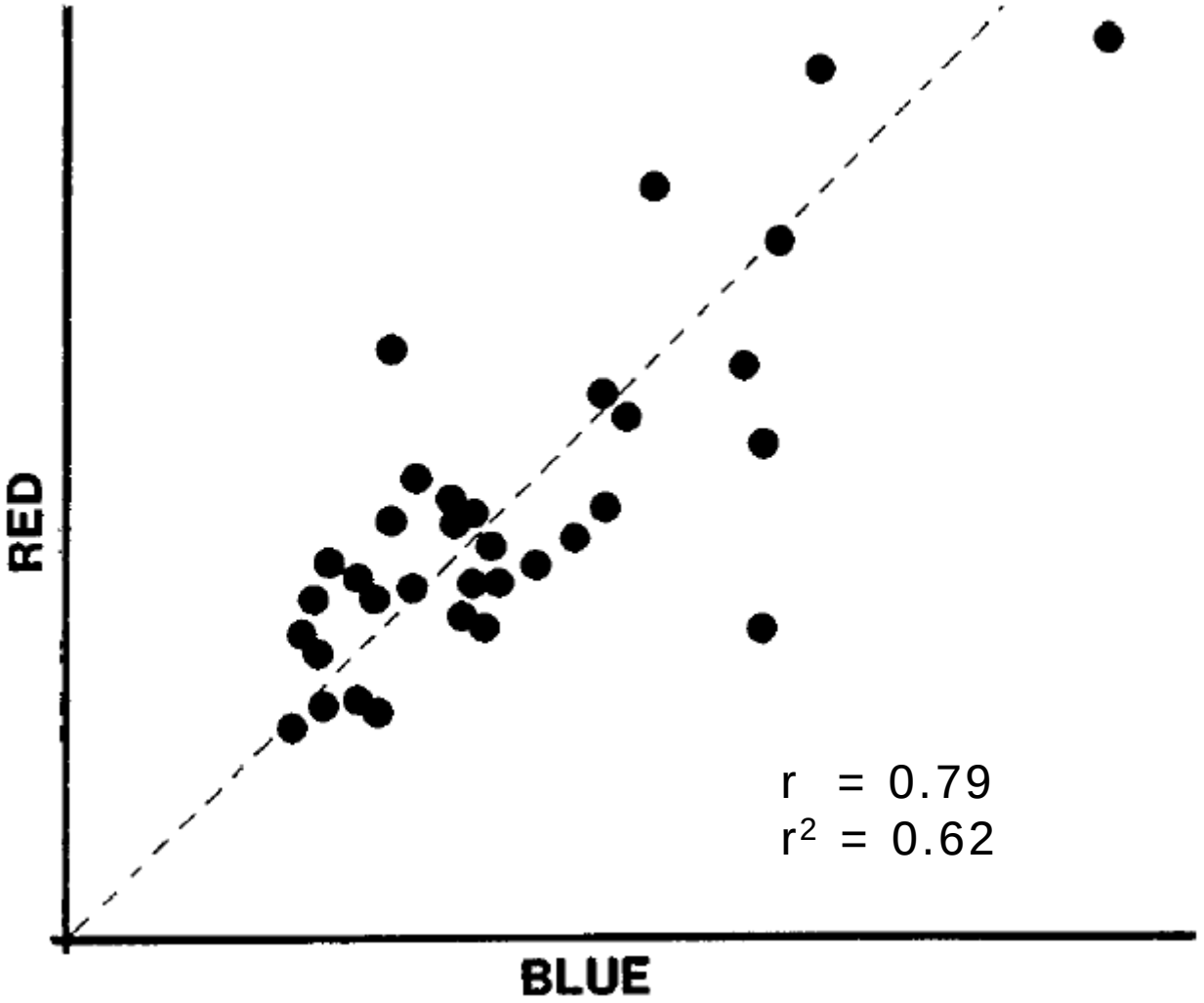
- The task involved *"syntactic and semantic edits on an input stream of calendar dates, followed by computation of day-intervals between specified dates as much as 8 centuries apart."*
- Mean program length was 220 lines
- Mean time to milestone 1 was 280 minutes
  - ➔ 47 LOC/h on average

Results: Work time differences

- The slowest participant took 5.6 times as long as the fastest
- Average time was 2.1 times the fastest time
- The slower half took 1.9 times as long as the faster half

Similarity of pairs

- Work time of the members of a pair correlated strongly
- 62% of the differences between people is explained by the pair (organization) they belong to

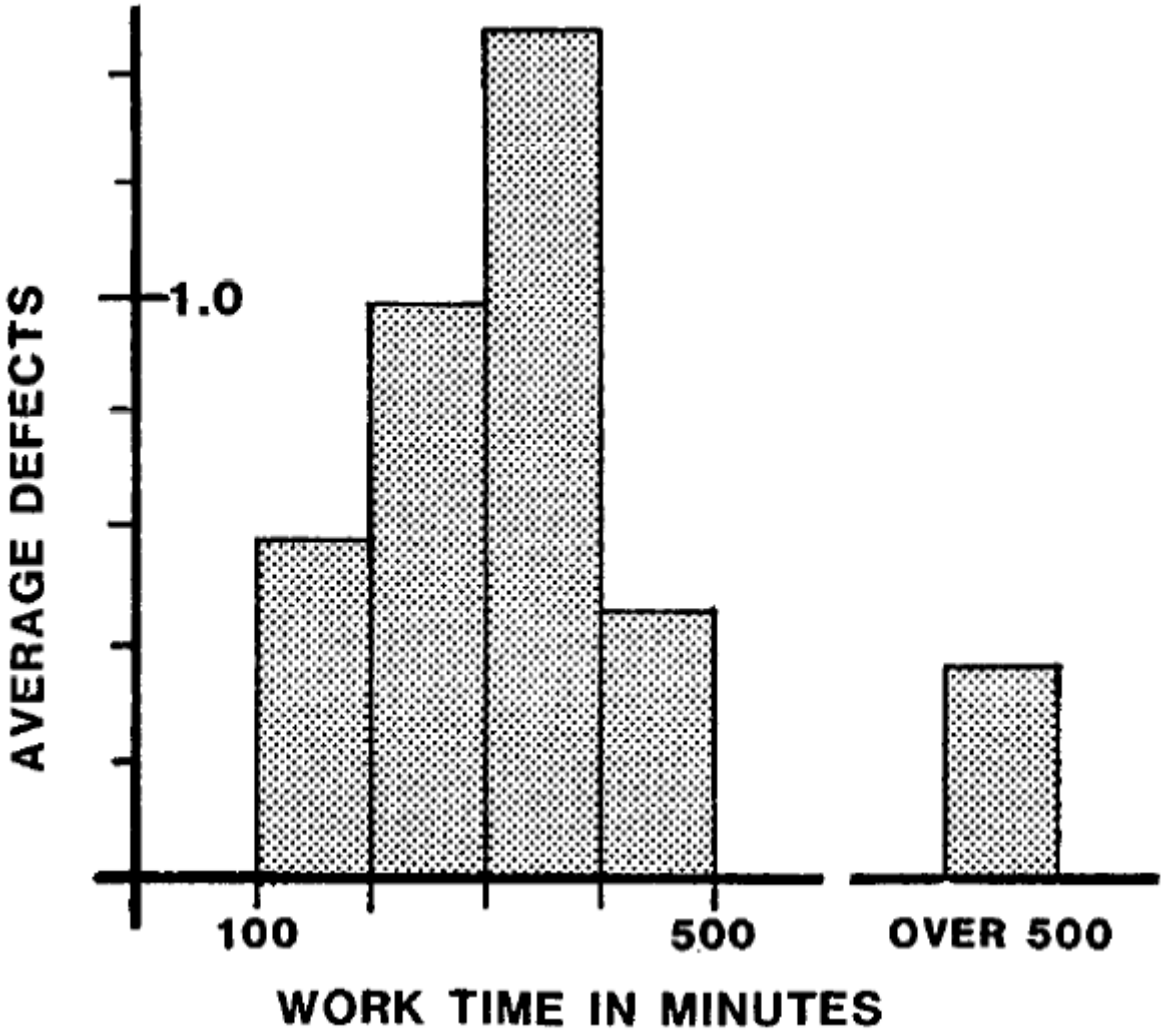


Similarity of pairs

- The fastest and second-fastest persons were in one pair
- The slowest and second-slowest were in one pair
- Of 13 that did not finish, 10 were paired with other non-finishers

Time versus quality

- Little coupling between time required and number of defects
- The fastest 25% had 30% less defects than the rest
- More than one third of programs had no defects even without testing



Comparison of workplace conditions

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- 25% fastest versus 25% slowest participants:

Output variable: time

ENVIRONMENTAL FACTOR	TOP 25%	BOTTOM 25%	ALL
Dedicated floor space	78 sqft.	46 sqft.	63 sqft.
Acceptably quiet workspace	57% yes	29% yes	42% yes
Acceptably private workspace	62% yes	19% yes	39% yes
Can you silence your phone?	52% yes	10% yes	29% yes
Can you divert your calls?	76% yes	19% yes	57% yes
Do people often interrupt you needlessly?	38% yes	76% yes	62% yes
Does your workspace make you feel appreciated?	57% yes	29% yes	45% yes

Input variables!

Validity problem

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- Fact: One organization had 18 participants in similar conditions, plus further 6 working in a quiet "clean room"
  - These 6 outperformed the other 18 by 40%
  - Why?

- Cause and effect may be either way round:
  - Better workplace conditions result in faster performance
  - Better performers will be provided with a better workplace

But:

- Three organizations with nine or more pairs each all showed very little variation in workplace conditions
  - so at least there the conditions are a function of the organization, not the individual performance

Summing up: Quasi-experiments

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- Quasi-experiments are like controlled experiments, but with reduced levels of control
  - typically via non-randomized group assignment
- Relaxing control allows for very interesting studies
  - that would not otherwise be possible
- Creative ways can often be found to strengthen credibility despite the reduced control
  - e.g. the worktime validation in the language comparison
  - or the use of pairs in the workplace study

Thank you!