

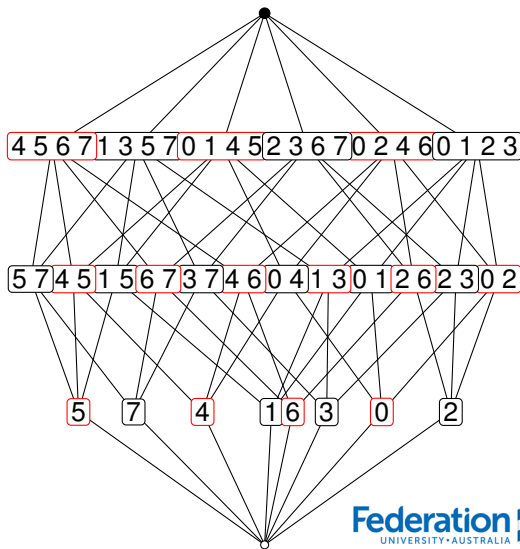
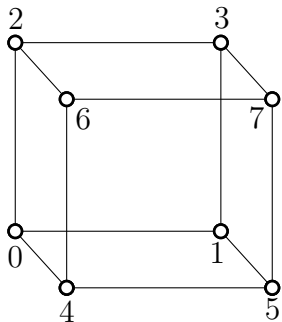
# Three questions on graphs of polytopes

**Guillermo Pineda-Villavicencio**

Federation University Australia

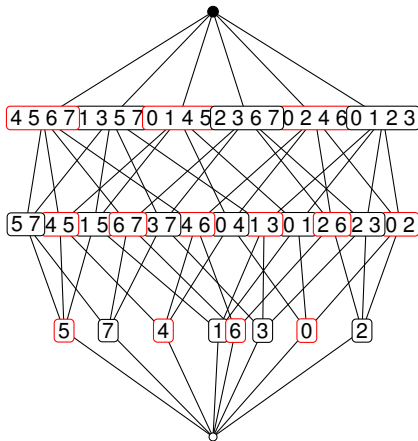
- 1 A polytope as a combinatorial object
- 2 First question: Reconstruction of polytopes
- 3 Second question: Connectivity of cubical polytopes
- 4 Third question: Linkedness of cubical polytopes

# A polytope as a combinatorial object



# Reconstruction of polytopes (Dolittle, Nevo, Ugon & Yost)

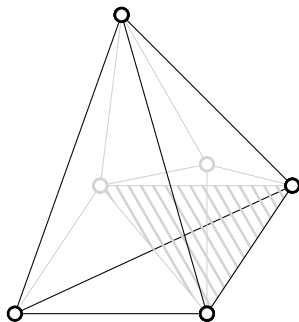
- The ***k*-skeleton** of a polytope is the set of all its faces of dimension  $\leq k$ .
- ***k*-skeleton reconstruction**: Given the *k*-skeleton of a polytope, can the face lattice of the polytope be completed?



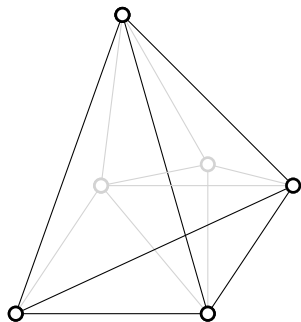
- (Grünbaum '67) Every  $d$ -polytope is reconstructible from its  $(d - 2)$ -skeleton.

- (Grünbaum '67) Every  $d$ -polytope is reconstructible from its  $(d - 2)$ -skeleton.
- For  $d \geq 4$  there are pairs of  $d$ -polytopes with isomorphic  $(d - 3)$ -skeleta:
  - a bipyramid over a  $(d - 1)$ -simplex and,
  - a pyramid over the  $(d - 1)$ -bipyramid over a  $(d - 2)$ -simplex.

# Polytopes nonreconstructible from their graphs



(a)  $\text{pyr}(\text{bipyr}(T_2))$



(b)  $\text{bipyr}(T_3)$

- (Blind & Mani, '87; Kalai, '88) A simple polytope is reconstructible from its graph.



- (Blind & Mani, '87; Kalai, '88) A simple polytope is reconstructible from its graph.
- Call  $d$ -polytope  $(d - k)$ -simple if every  $(k - 1)$ -face is contained in exactly  $d - k - 1$  facets.
- A simple  $d$ -polytope is  $(d - 1)$ -simple.
- (Kalai, '88) A  $(d - k)$ -simple  $d$ -polytope is reconstructible from its  $k$ -skeleton.

# Reconstruction of almost simple polytopes

Call a vertex of a  $d$ -polytope **nonsimple** if the number of edges incident to it is  $> d$ .

# Reconstruction of almost simple polytopes

Call a vertex of a  $d$ -polytope **nonsimple** if the number of edges incident to it is  $> d$ .

## Theorem (Doolittle-Nevo-PV-Ugon-Yost, '17)

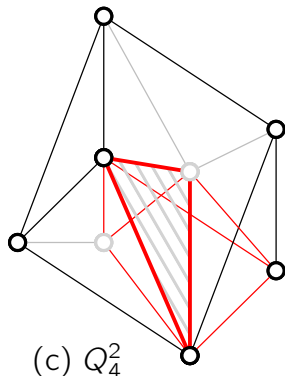
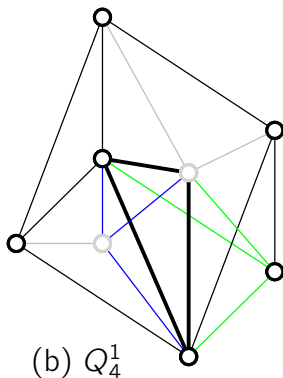
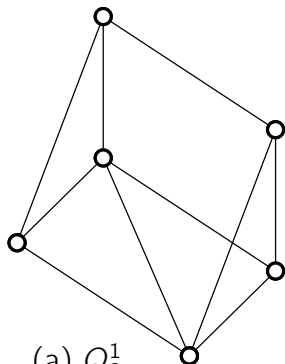
*Let  $P$  be a  $d$ -polytope. Then the following statements hold.*

- 1 *The face lattice of any  $d$ -polytope with at most two nonsimple vertices is determined by its graph (1-skeleton);*
- 2 *the face lattice of any  $d$ -polytope with at most  $d - 2$  nonsimple vertices is determined by its 2-skeleton; and*
- 3 *for any  $d > 3$  there are two  $d$ -polytopes with  $d - 1$  nonsimple vertices, isomorphic  $(d - 3)$ -skeleton and nonisomorphic face lattices.*

The result (1) is best possible for 4-polytopes.

# Nonisomorphic 4-polytopes with 3 nonsimple vertices

- Construct a  $d$ -polytope  $Q_1^d$ .
- The polytope  $Q_2^d$  is created by “**gluing**” two simplex facets of  $Q_1^d$  along a common ridge to create a bipyramid of  $Q_2^d$ .

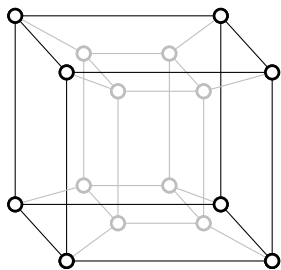


## Problem

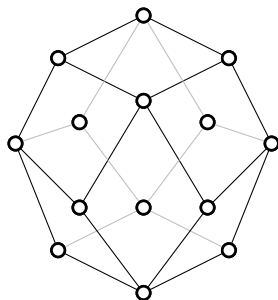
*Is every  $d$ -polytope with at most  $d - 2$  nonsimple vertices reconstructible from its graph?*

# Cubical polytopes

A **cubical  $d$ -polytope** is a  $d$ -polytope in which every facet is a  $(d - 1)$ -cube.



(a) 4-cube



(b) cubical 3-polytope

When referring to graph-theoretical properties of a polytope such as minimum degree and connectivity, we mean properties of the graph  $G = (V, E)$  of the polytope.

- (Balinski '61) The graph of a  $d$ -polytope is  $d$ -(vertex)-connected.

When referring to graph-theoretical properties of a polytope such as minimum degree and connectivity, we mean properties of the graph  $G = (V, E)$  of the polytope.

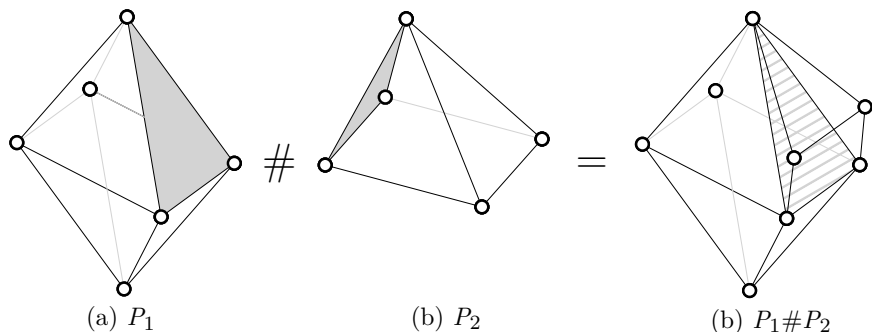
- (Balinski '61) The graph of a  $d$ -polytope is  $d$ -(vertex)-connected.
- (Grünbaum '67) If  $P \subset \mathbb{R}^d$  is a  $d$ -polytope,  $H$  a hyperplane and  $W$  a proper subset of  $H \cap V(P)$ , then removing  $W$  from  $G(P)$  leaves a connected subgraph.



When referring to graph-theoretical properties of a polytope such as minimum degree and connectivity, we mean properties of the graph  $G = (V, E)$  of the polytope.

- (Balinski '61) The graph of a  $d$ -polytope is  $d$ -(vertex)-connected.
- (Grünbaum '67) If  $P \subset \mathbb{R}^d$  is a  $d$ -polytope,  $H$  a hyperplane and  $W$  a proper subset of  $H \cap V(P)$ , then removing  $W$  from  $G(P)$  leaves a connected subgraph.
- (Perles & Prabhhu '93) Removing the subgraph of a  $k$ -face from the graph of a  $d$ -polytope leaves a  $\max(1, d - k - 1)$ -connected subgraph.

## Minimum degree vs connectivity



**Figure:** There are  $d$ -polytopes with high minimum degree which are not  $(d + 1)$ -connected.

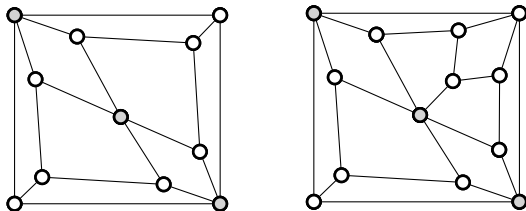
## Theorem (Connectivity Theorem; Hoa, PV & Ugon)

*Let  $0 \leq \alpha \leq d - 3$  and let  $P$  be a cubical  $d$ -polytope with minimum degree at least  $d + \alpha$ . Then  $P$  is  $(d + \alpha)$ -connected.*

*Furthermore, if the minimum degree of  $P$  is exactly  $d + \alpha$ , then, for any  $d \geq 4$  and any  $0 \leq \alpha \leq d - 3$ , every separator of cardinality  $d + \alpha$  consists of all the neighbours of some vertex and breaks the polytope into exactly two components.*

*This is best possible in the sense that for  $d = 3$  there are cubical  $d$ -polytopes with minimum separators not consisting of the neighbours of some vertex.*

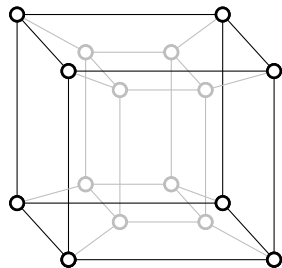
# Connectivity Theorem and $d = 3$



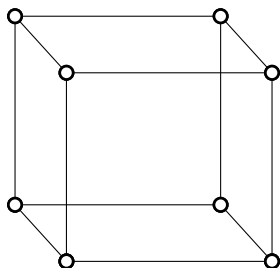
**Figure:** Cubical 3-polytopes with minimum separators not consisting of the neighbours of some vertex. Vertex separator coloured in gray.

**Note:** Infinitely many more examples can be generated by using well know expansion operations such as those in “Generation of simple quadrangulations of the sphere” by Brinkmann et al.

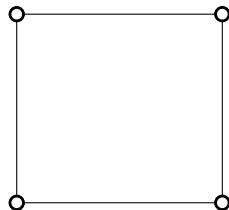
# Connectivity Theorem and cubes



(a) 4-cube



(b) 3-cube



(c) 2-cube

**Figure:** Every minimum separator of a cube consists of the neighbours of some vertex.

**Note:** This can be proved by induction on  $d$ , considering the effect of the separator on a pair of opposite facets.

**Ingredient 1:** **Strongly connected  $(d - 1)$ -complex.** A finite nonempty collection  $\mathcal{C}$  of polytopes (called faces of  $\mathcal{C}$ ) satisfying the following.

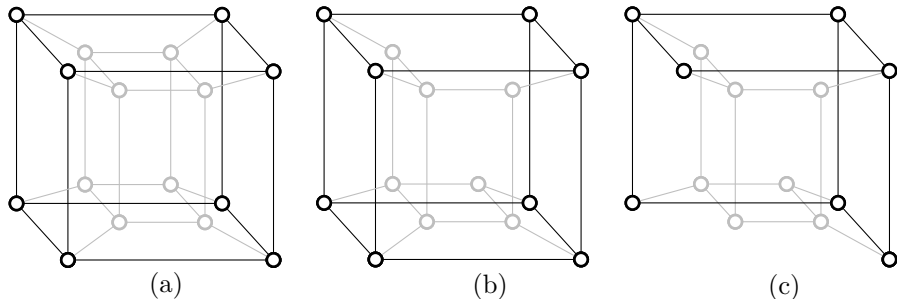
- The faces of each polytope in  $\mathcal{C}$  all belong to  $\mathcal{C}$ , and
- polytopes of  $\mathcal{C}$  intersect only at faces, and
- each of the faces of  $\mathcal{C}$  is contained in  $(d - 1)$ -face, and
- for every pair of facets  $F$  and  $F'$ , there is a path  $F = F_1 \cdots F_n = F'$  of facets in  $\mathcal{C}$  such that  $F_i \cap F_{i+1}$  is a  $(d - 2)$ -face, ridge, of  $\mathcal{C}$ .

**Ingredient 1:** **Strongly connected  $(d - 1)$ -complex.** A finite nonempty collection  $\mathcal{C}$  of polytopes (called faces of  $\mathcal{C}$ ) satisfying the following.

- The faces of each polytope in  $\mathcal{C}$  all belong to  $\mathcal{C}$ , and
- polytopes of  $\mathcal{C}$  intersect only at faces, and
- each of the faces of  $\mathcal{C}$  is contained in  $(d - 1)$ -face, and
- for every pair of facets  $F$  and  $F'$ , there is a path  $F = F_1 \cdots F_n = F'$  of facets in  $\mathcal{C}$  such that  $F_i \cap F_{i+1}$  is a  $(d - 2)$ -face, ridge, of  $\mathcal{C}$ .

(Sallee '67) The graph of a strongly connected  $(d - 1)$ -complex is  $(d - 1)$ -connected.

# Examples of strongly connected $(d - 1)$ -complexes



**Figure:** (a) The 4-cube, a strongly connected 4-complex. (b) A strongly connected 3-complex in the 4-cube. (c) A strongly connected 2-complex in the 4-cube.



- **Ingredient 2:** The Connectivity Theorem holds for cubes.
- **Ingredient 3:** Removing the vertices of any proper face of a cubical  $d$ -polytope leaves a “spanning” strongly connected  $(d - 2)$ -complex, and hence a  $(d - 2)$ -connected subgraph.

Ingredient 3 is proved using Ingredient 1.

# Connectivity Theorem: Sketch of the proof

Let  $0 \leq \alpha \leq d - 3$  and let  $P$  be a cubical  $d$ -polytope with minimum degree at least  $d + \alpha$ . Then  $P$  is  $(d + \alpha)$ -connected.

Let  $X$  be a minimum separator of the graph  $G(P)$  of  $P$ , with vertices  $u$  and  $v$  of  $P$  being separated by  $X$ .

# Connectivity Theorem: Sketch of the proof

Let  $0 \leq \alpha \leq d - 3$  and let  $P$  be a cubical  $d$ -polytope with minimum degree at least  $d + \alpha$ . Then  $P$  is  $(d + \alpha)$ -connected.

Let  $X$  be a minimum separator of the graph  $G(P)$  of  $P$ , with vertices  $u$  and  $v$  of  $P$  being separated by  $X$ .

- **Claim 1.** If  $|X| \leq d + \alpha$  then, for any facet  $F$ , the cardinality of  $X \cap V(F)$  is at most  $d - 1$ .

# Connectivity Theorem: Sketch of the proof

Let  $0 \leq \alpha \leq d - 3$  and let  $P$  be a cubical  $d$ -polytope with minimum degree at least  $d + \alpha$ . Then  $P$  is  $(d + \alpha)$ -connected.

Let  $X$  be a minimum separator of the graph  $G(P)$  of  $P$ , with vertices  $u$  and  $v$  of  $P$  being separated by  $X$ .

- **Claim 1.** If  $|X| \leq d + \alpha$  then, for any facet  $F$ , the cardinality of  $X \cap V(F)$  is at most  $d - 1$ .
- **Claim 2.** If  $|X| \leq d + \alpha$  then the set  $X$  disconnects at least  $d$  facets of  $P$ .

## Connectivity Theorem: Sketch of the proof (Continued)

Let  $0 \leq \alpha \leq d - 3$  and let  $P$  be a cubical  $d$ -polytope with minimum degree at least  $d + \alpha$ . Then  $P$  is  $(d + \alpha)$ -connected.

Let  $X$  be a minimum separator of the graph  $G(P)$  of  $P$ , with vertices  $u$  and  $v$  of  $P$  being separated by  $X$ .

- Suppose  $|X| \leq d - 1 + \alpha$  (Proceeding by contradiction).

## Connectivity Theorem: Sketch of the proof (Continued)

Let  $0 \leq \alpha \leq d - 3$  and let  $P$  be a cubical  $d$ -polytope with minimum degree at least  $d + \alpha$ . Then  $P$  is  $(d + \alpha)$ -connected.

Let  $X$  be a minimum separator of the graph  $G(P)$  of  $P$ , with vertices  $u$  and  $v$  of  $P$  being separated by  $X$ .

- Suppose  $|X| \leq d - 1 + \alpha$  (Proceeding by contradiction).
- Take a facet  $F$  being disconnected by  $X$  (it exists by **Claim 2**). Then  $|V(F) \cap X| = d - 1$  (by **Claim 1**).

## Connectivity Theorem: Sketch of the proof (Continued)

Let  $0 \leq \alpha \leq d - 3$  and let  $P$  be a cubical  $d$ -polytope with minimum degree at least  $d + \alpha$ . Then  $P$  is  $(d + \alpha)$ -connected.

Let  $X$  be a minimum separator of the graph  $G(P)$  of  $P$ , with vertices  $u$  and  $v$  of  $P$  being separated by  $X$ .

- Suppose  $|X| \leq d - 1 + \alpha$  (Proceeding by contradiction).
- Take a facet  $F$  being disconnected by  $X$  (it exists by **Claim 2**). Then  $|V(F) \cap X| = d - 1$  (by **Claim 1**).
- Removing all the vertices of  $F$  from  $P$  produces a  $(d - 2)$ -connected subgraph  $S$  (by **Ingredient 3**).

## Connectivity Theorem: Sketch of the proof (Continued)

Let  $0 \leq \alpha \leq d - 3$  and let  $P$  be a cubical  $d$ -polytope with minimum degree at least  $d + \alpha$ . Then  $P$  is  $(d + \alpha)$ -connected.

Let  $X$  be a minimum separator of the graph  $G(P)$  of  $P$ , with vertices  $u$  and  $v$  of  $P$  being separated by  $X$ .

- Suppose  $|X| \leq d - 1 + \alpha$  (Proceeding by contradiction).
- Take a facet  $F$  being disconnected by  $X$  (it exists by **Claim 2**). Then  $|V(F) \cap X| = d - 1$  (by **Claim 1**).
- Removing all the vertices of  $F$  from  $P$  produces a  $(d - 2)$ -connected subgraph  $S$  (by **Ingredient 3**).
- Removing  $X$  doesn't disconnect  $S$  (as  $|V(S) \cap X| \leq \alpha \leq d - 3$ ).



## Connectivity Theorem: Sketch of the proof (Continued)

Let  $0 \leq \alpha \leq d - 3$  and let  $P$  be a cubical  $d$ -polytope with minimum degree at least  $d + \alpha$ . Then  $P$  is  $(d + \alpha)$ -connected.

Let  $X$  be a minimum separator of the graph  $G(P)$  of  $P$ , with vertices  $u$  and  $v$  of  $P$  being separated by  $X$ .

- Suppose  $|X| \leq d - 1 + \alpha$  (Proceeding by contradiction).
- Take a facet  $F$  being disconnected by  $X$  (it exists by **Claim 2**). Then  $|V(F) \cap X| = d - 1$  (by **Claim 1**).
- Removing all the vertices of  $F$  from  $P$  produces a  $(d - 2)$ -connected subgraph  $S$  (by **Ingredient 3**).
- Removing  $X$  doesn't disconnect  $S$  (as  $|V(S) \cap X| \leq \alpha \leq d - 3$ ).
- So  $u$  can be assumed in  $F$ . Every neighbour of  $u$  in  $F$  is in  $X$  (by **Ingredient 1**).

## Connectivity Theorem: Sketch of the proof (Continued)

Let  $0 \leq \alpha \leq d - 3$  and let  $P$  be a cubical  $d$ -polytope with minimum degree at least  $d + \alpha$ . Then  $P$  is  $(d + \alpha)$ -connected.

Let  $X$  be a minimum separator of the graph  $G(P)$  of  $P$ , with vertices  $u$  and  $v$  of  $P$  being separated by  $X$ .

- Suppose  $|X| \leq d - 1 + \alpha$  (Proceeding by contradiction).
- Take a facet  $F$  being disconnected by  $X$  (it exists by **Claim 2**). Then  $|V(F) \cap X| = d - 1$  (by **Claim 1**).
- Removing all the vertices of  $F$  from  $P$  produces a  $(d - 2)$ -connected subgraph  $S$  (by **Ingredient 3**).
- Removing  $X$  doesn't disconnect  $S$  (as  $|V(S) \cap X| \leq \alpha \leq d - 3$ ).
- So  $u$  can be assumed in  $F$ . Every neighbour of  $u$  in  $F$  is in  $X$  (by **Ingredient 1**).
- Since  $\deg(u) \geq d + \alpha$ , there is a neighbour of  $u$  in  $V(S) \setminus X$ , and  $u$  can be linked to  $v$ .

## Corollary

*There are functions  $f : \mathbb{N} \rightarrow \mathbb{N}$  and  $g : \mathbb{N} \rightarrow \mathbb{N}$  such that, for every  $d$ ,*

- 1 the function  $f(d)$  gives the maximum number such that every cubical  $d$ -polytope with minimum degree  $\delta \leq f(d)$  is  $\delta$ -connected;*
- 2 the function  $g(d)$  gives the maximum number such that every cubical  $d$ -polytope with minimum degree  $\delta \leq g(d)$  is  $\delta$ -connected and whose minimum separator consists of the neighbourhood of some vertex; and*
- 3 the functions  $f(d)$  and  $g(d)$  are bounded from below by  $2d - 3$ .*

## An open problem

An naive exponential bound in  $d$  for  $f(d)$  is readily available. Taking the connected sum of two cubical  $d$ -polytope  $P_1$  and  $P_2$  with minimum degree  $\delta$  we can obtain a cubical  $d$ -polytope  $Q$  with minimum degree  $\delta$  and a separator of cardinality  $2^{d-1}$ , the number of vertices of the facet along which we glued.

## An open problem

An naive exponential bound in  $d$  for  $f(d)$  is readily available. Taking the connected sum of two cubical  $d$ -polytope  $P_1$  and  $P_2$  with minimum degree  $\delta$  we can obtain a cubical  $d$ -polytope  $Q$  with minimum degree  $\delta$  and a separator of cardinality  $2^{d-1}$ , the number of vertices of the facet along which we glued.

$$2d - 3 \leq g(d) \leq f(d) \leq 2^{d-1}. \quad (1)$$

## An open problem

An naive exponential bound in  $d$  for  $f(d)$  is readily available. Taking the connected sum of two cubical  $d$ -polytope  $P_1$  and  $P_2$  with minimum degree  $\delta$  we can obtain a cubical  $d$ -polytope  $Q$  with minimum degree  $\delta$  and a separator of cardinality  $2^{d-1}$ , the number of vertices of the facet along which we glued.

$$2d - 3 \leq g(d) \leq f(d) \leq 2^{d-1}. \quad (1)$$

### Problem

*Provide precise values for the functions  $f$  and  $g$  or improve the lower and upper bounds in (1).*

- A graph with at least  $2k$  vertices is  **$k$ -linked** if, for every set of  $2k$  distinct vertices organised in arbitrary  $k$  pairs of vertices, there are  $k$  disjoint paths joining the vertices in the pairs.

# Linkedness of cubical polytopes (Hoa & Ugon)

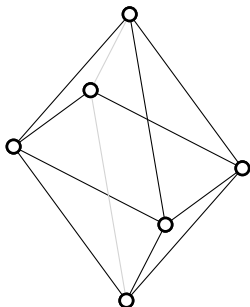
- A graph with at least  $2k$  vertices is  **$k$ -linked** if, for every set of  $2k$  distinct vertices organised in arbitrary  $k$  pairs of vertices, there are  $k$  disjoint paths joining the vertices in the pairs.
- A  **$k$ -linked** graph is at least  $(2k - 1)$ -connected.

(If it had a separator  $X$  of size  $2k - 2$ , choose  $k$ -pairs  $(s_1, t_1), \dots, (s_k, t_k)$  to be linked such that  $X := \{s_1, \dots, s_{k-1}, t_1, \dots, t_{k-1}\}$  and the vertices  $s_k$  and  $t_k$  are separated by  $X$ .)

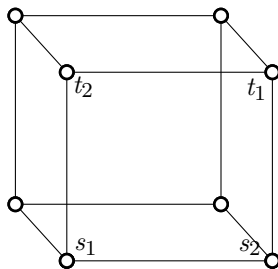


# Classification of 2-linked graphs and 3-polytopes

- (Seymour '80 and Thomassen '80) The graph of every simplicial 3-polytopes is 2-linked; that is, every 3-connected planar graph with triangles as faces is 2-linked.
- No other 3-polytope is 2-linked.



(a) 2-linked



(b) not 2-linked

- (Larman & Mani '70) Every  $d$ -polytope is  $\lfloor (d + 1)/3 \rfloor$ -linked.
- (Werner & Wotzlaw '11) Slightly improved to  $\lfloor (d + 2)/3 \rfloor$ .

- (Larman & Mani '70) Every  $d$ -polytope is  $\lfloor (d + 1)/3 \rfloor$ -linked.
- (Werner & Wotzlaw '11) Slightly improved to  $\lfloor (d + 2)/3 \rfloor$ .
- (Thomas & Wollan '05) Every  $d$ -polytope with minimum degree at least  $5d$  is  $\lfloor d/2 \rfloor$ -linked.

- (Larman & Mani '70) Graphs of **simplicial  $d$ -polytopes**, polytopes with all its facets being combinatorially equivalent to simplices, are  $\lfloor (d + 1)/2 \rfloor$ -linked.
- This is the maximum possible linkedness given that some of these graphs are  $d$ -connected but not  $(d + 1)$ -connected.

- (Wotzlaw '09) In his PhD thesis he asked whether  $d$ -cubes were  $\lfloor d/2 \rfloor$ -linked and whether cubical  $d$ -polytopes were  $\lfloor d/2 \rfloor$ -linked.

- (Wotzlaw '09) In his PhD thesis he asked whether  $d$ -cubes were  $\lfloor d/2 \rfloor$ -linked and whether cubical  $d$ -polytopes were  $\lfloor d/2 \rfloor$ -linked.
- (Meszaros '15)  $d$ -cubes are  $\lfloor (d + 1)/2 \rfloor$ -linked for  $d \neq 3$ . This was as part of a study of linkedness of cartesian products of graphs.

- (Wotzlaw '09) In his PhD thesis he asked whether  $d$ -cubes were  $\lfloor d/2 \rfloor$ -linked and whether cubical  $d$ -polytopes were  $\lfloor d/2 \rfloor$ -linked.
- (Meszaros '15)  $d$ -cubes are  $\lfloor (d + 1)/2 \rfloor$ -linked for  $d \neq 3$ . This was as part of a study of linkedness of cartesian products of graphs.

## Theorem (Linkedness Theorem; Hoa, PV & Ugon)

*Cubical  $d$ -polytopes are  $\lfloor (d + 1)/2 \rfloor$ -linked for every  $d \neq 3$ .*

*This is best possible since there are cubical  $d$ -polytopes which are  $d$ -connected but not  $(d + 1)$ -connected.*

- (Handbook of Computational Geometry 1st Ed) Is every  $d$ -polytope is  $\lfloor d/2 \rfloor$ -linked?



# An open problem

- (Handbook of Computational Geometry 1st Ed) Is every  $d$ -polytope is  $\lfloor d/2 \rfloor$ -linked?
- (Gallivan '70) **False**: there are  $d$ -polytopes which are not  $\lfloor 2(d + 4)/5 \rfloor$ -linked.

# An open problem

- (Handbook of Computational Geometry 1st Ed) Is every  $d$ -polytope is  $\lfloor d/2 \rfloor$ -linked?
- (Gallivan '70) **False**: there are  $d$ -polytopes which are not  $\lfloor 2(d+4)/5 \rfloor$ -linked.
- All the known counterexamples have fewer than  $3\lfloor d/2 \rfloor$  vertices

- (Handbook of Computational Geometry 1st Ed) Is every  $d$ -polytope is  $\lfloor d/2 \rfloor$ -linked?
- (Gallivan '70) **False**: there are  $d$ -polytopes which are not  $\lfloor 2(d+4)/5 \rfloor$ -linked.
- All the known counterexamples have fewer than  $3\lfloor d/2 \rfloor$  vertices

## Problem (Wotzlaw '09)

*Is there some function  $h(d)$ , such that every  $d$ -polytope on at least  $h(d)$  vertices is  $\lfloor d/2 \rfloor$ -linked?*

- J. Doolittle, E. Nevo, G. Pineda-Villavicencio, J. Ugon and D. Yost, **On the reconstruction of polytopes**, Discrete & Computational Geometry, to appear, arXiv:1702.08739.
- H. T. Bui, G. Pineda-Villavicencio and J. Ugon, **Connectivity of cubical polytopes**, 13 pages, arXiv:1801.06747.
- H. T. Bui, G. Pineda-Villavicencio and J. Ugon, **The linkedness of cubical polytopes**, 29 pages, arXiv:1802.09230.